



How I learned to  
stop worrying and love  
multi-nucleon effects  
(in neutrino-carbon  
interactions)

new MINERvA measurement  
Phys. Rev. Lett. 116, 081802  
[arXiv.org:1511.05944](http://arXiv.org:1511.05944)

plus  
interpretation for  
oscillation experiments

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# Saint Surrounded by Three Pi Mesons

Salvador Dalí  
Figueres, Spain, 1957

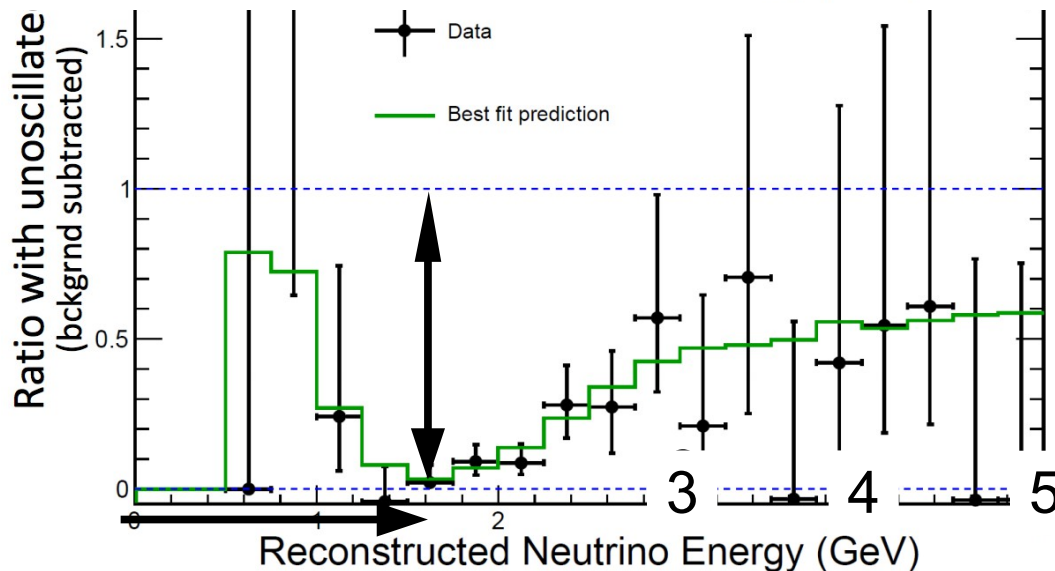
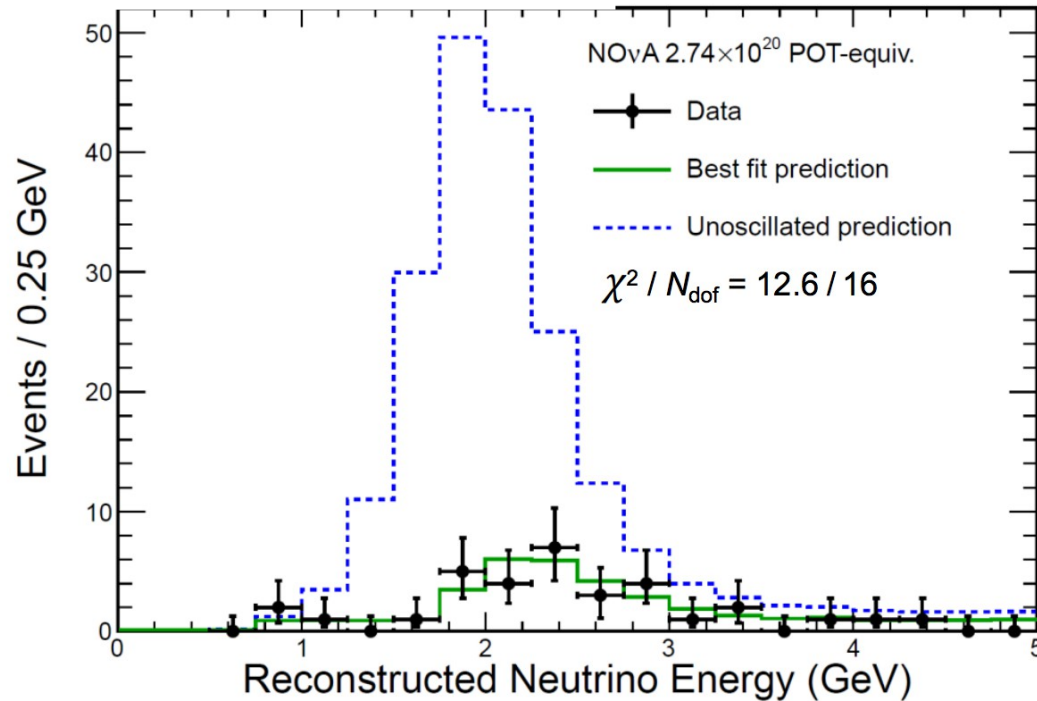
This work grew from  
collaborations with  
Federico Sanchez  
(Barcelona)  
Juan Nieves,  
Manolo Vicente Vacas  
(Valencia)

Phil Rodrigues (Rochester)  
Ethan Miltenberger,  
John Demgen, Miranda Elkins  
Tom Schaffer (UMD M.S.)  
Alec Lovlein, Jake Leistico  
(UMD undergrads) <sup>2</sup>

# Oscillation experiments measure $E_\nu$ spectra

R. Patterson/NOvA

Fermilab JTES Seminar **NOvA Preliminary**



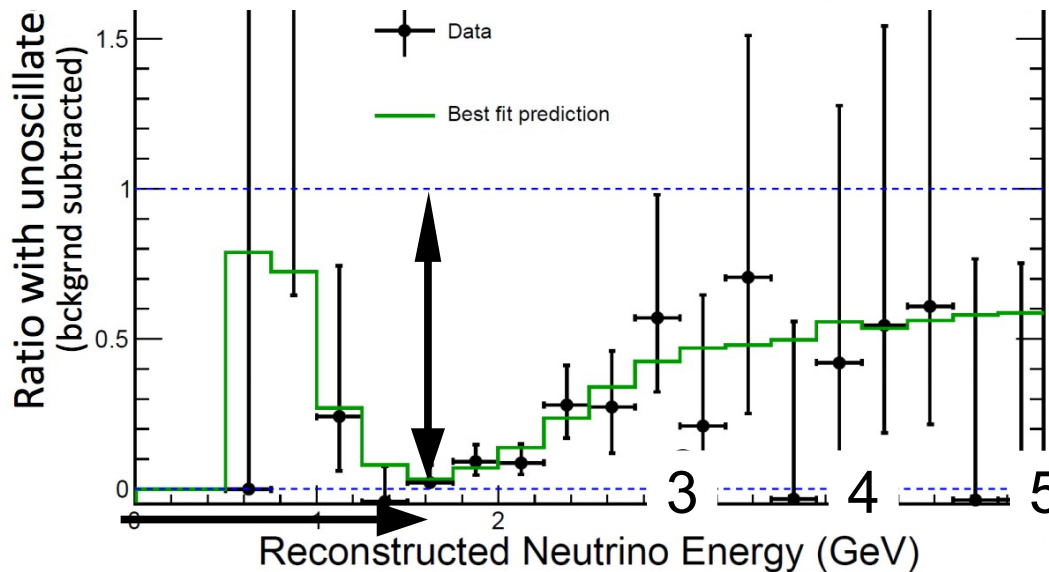
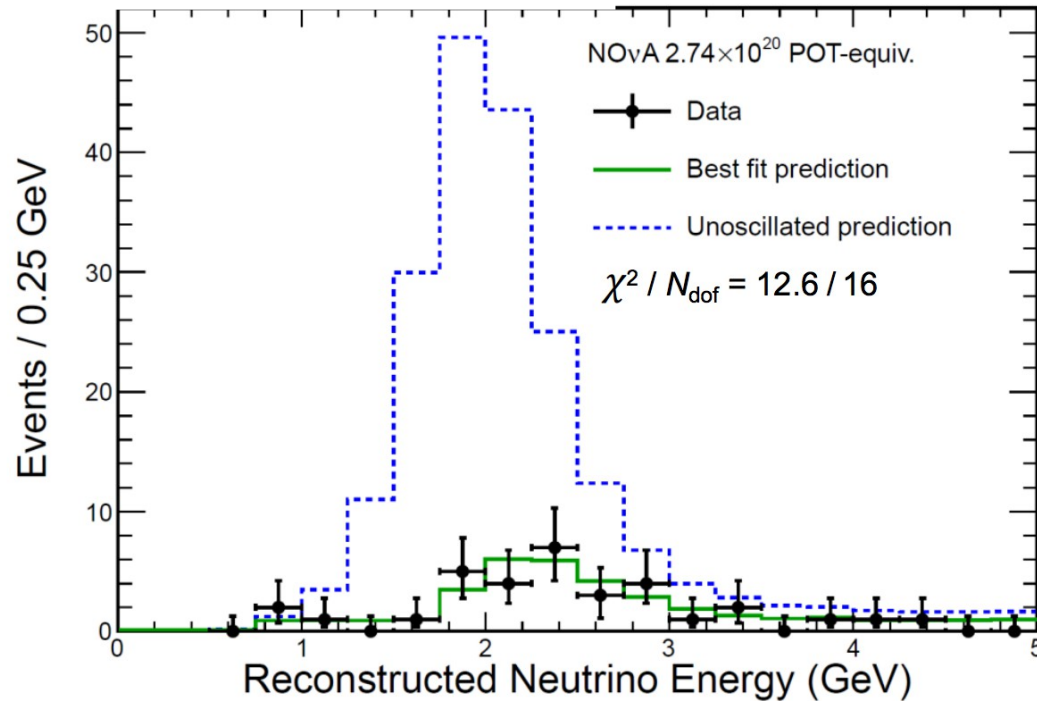
Extraction of oscillation parameters comes from comparing predicted energy spectrum with no oscillations to the measured ones, and fitting parameters.

This example from NOvA get:  $\sin^2 2\theta \sin^2(1.27 \Delta(m^2) L/E)$  from depth and position of oscillation maximum at best fit.

# Oscillation experiments measure $E_\nu$ spectra

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Extraction of oscillation parameters comes from comparing predicted energy spectrum

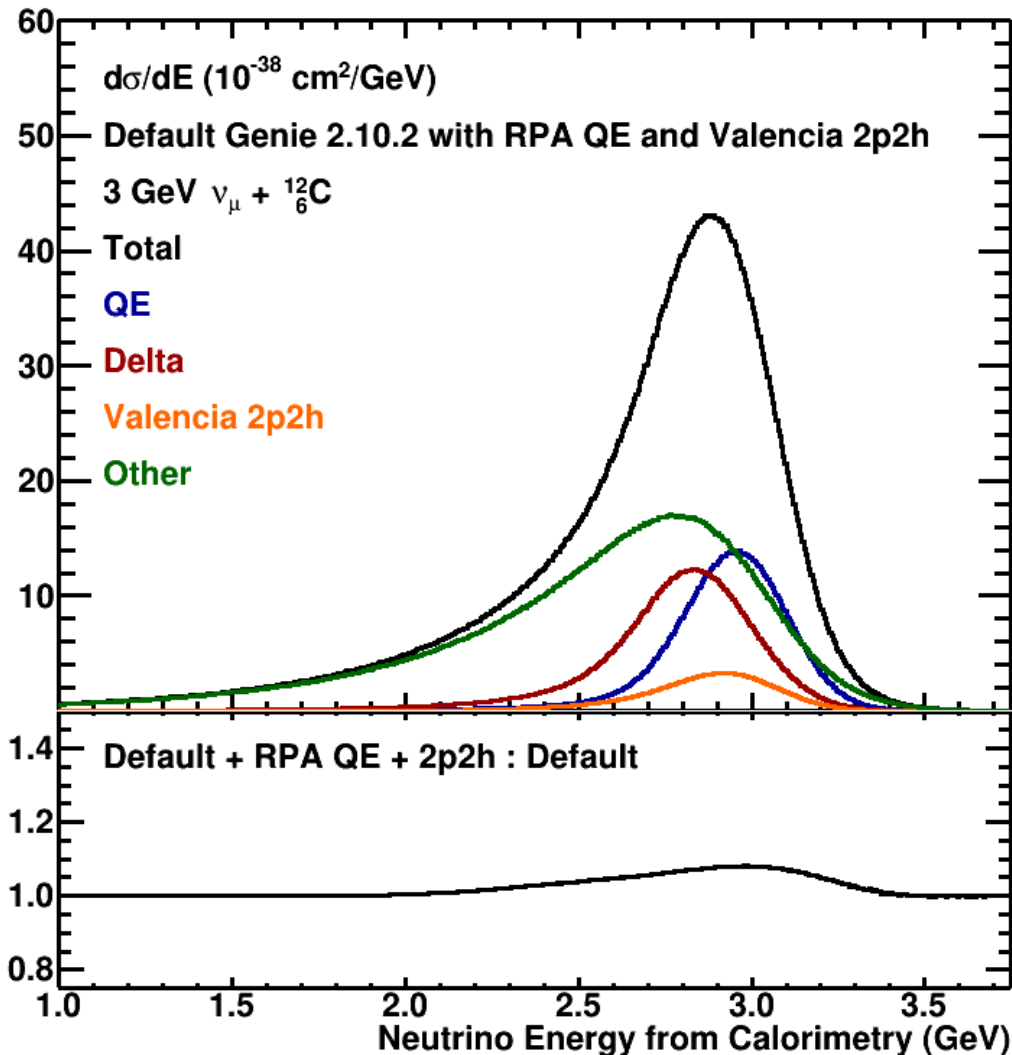
This example from NOvA get:  $\sin^2 2\theta \sin^2(1.27 \Delta(m^2) L/E)$  from depth and position of oscillation maximum

What if some poorly modeled events are reconstructed with too low energy?

This talk: events at 3 GeV are reconstructed at 2 GeV?

# Distortion of a mono-energetic 3 GeV neutrino sample

“Probability” that a 3 GeV neutrino is reconstructed at 2.5 or 2.0 GeV



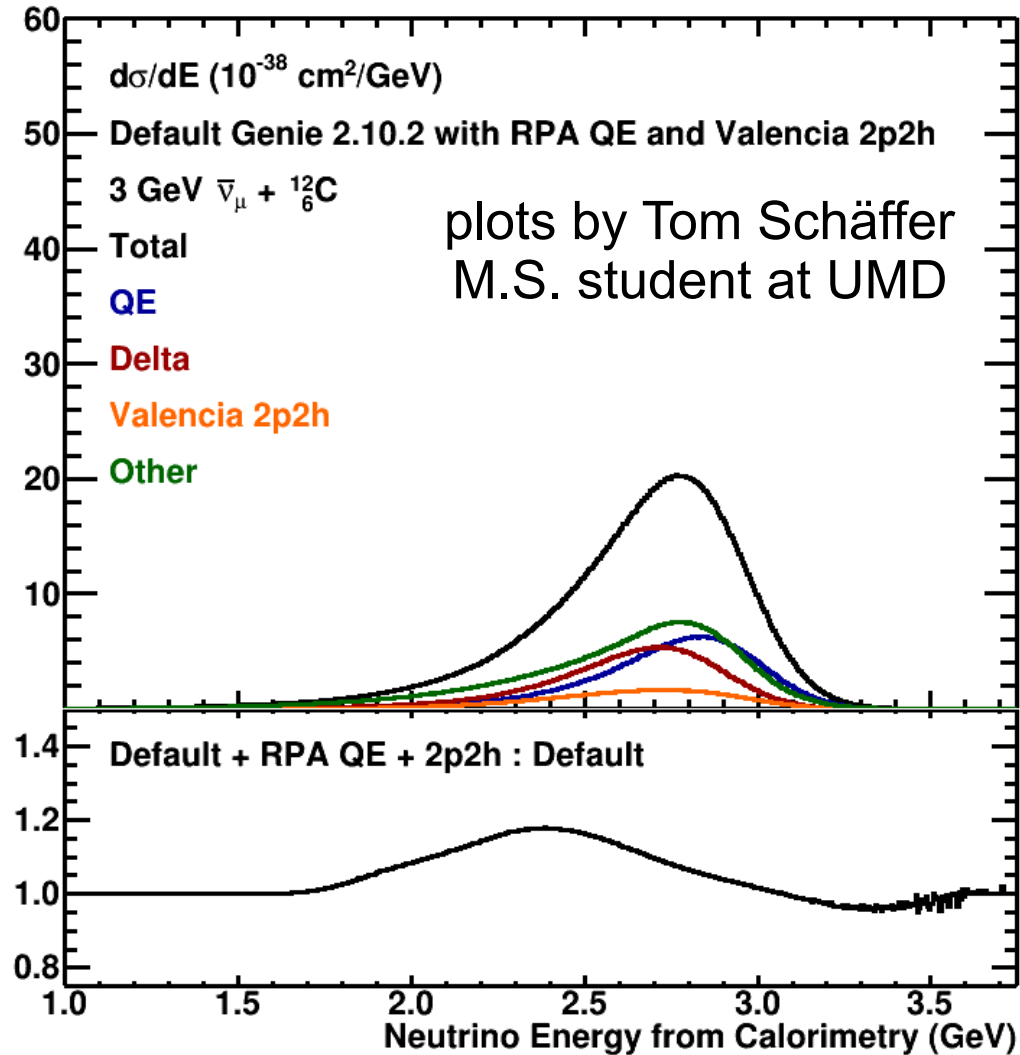
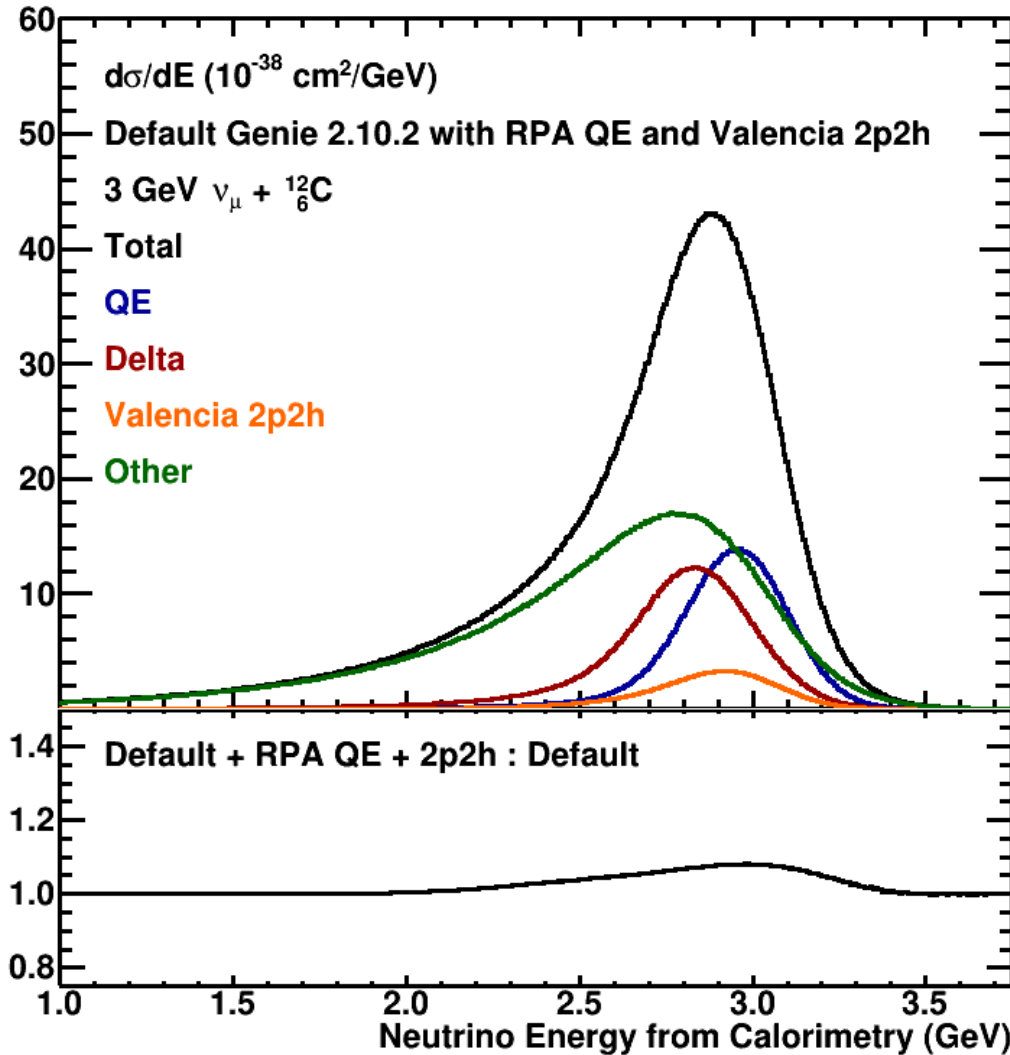
Simple resolution smearing  
DUNE CDR-like  
5% on Elepton  
10% on (Ehad-Eneutron)  
missing unbinding energy

If we dead-reckon XS  
the tails to the left  
are reconstructed  
in osc max dip  
for numu disappearance  
OR shift the peak to lower E  
for nue appearance

To the extent that cross section models are well constrained from external data or from the DUNE Near Detector, no problem. Ratio shows distortion caused by (“worst case”) not modeling 2p2h

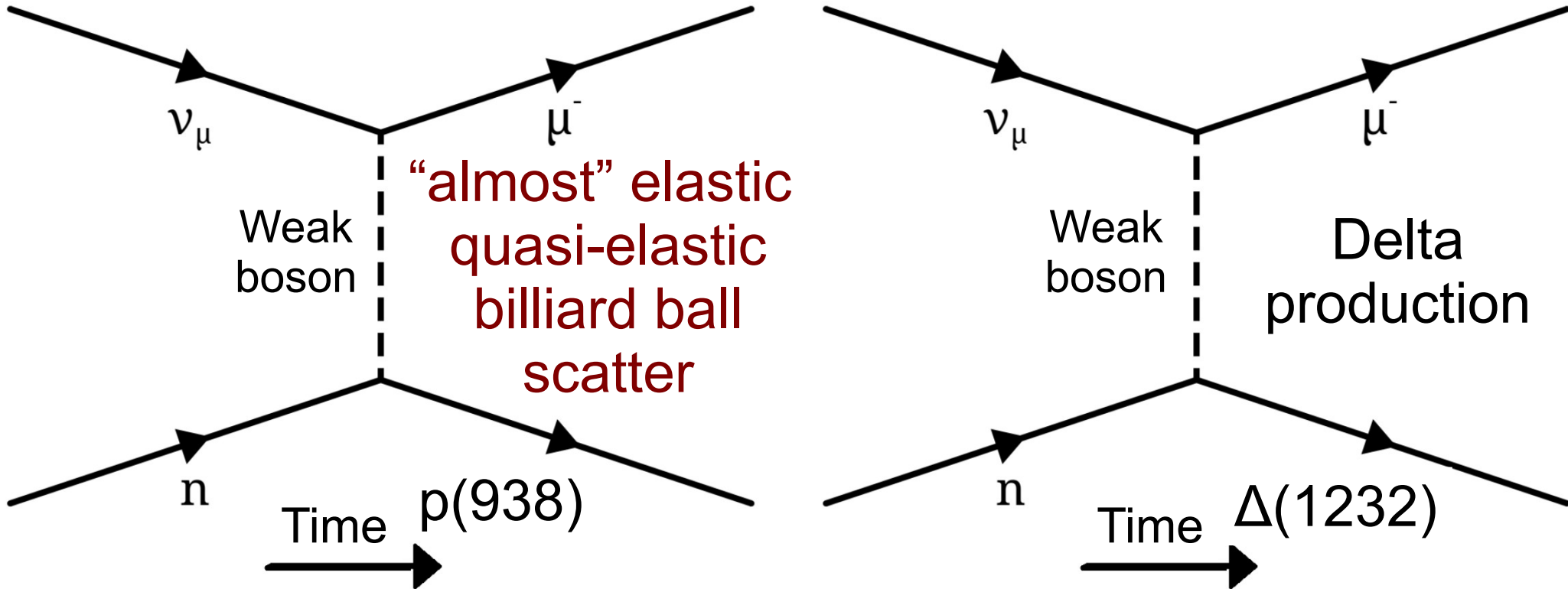
# Distortion of mono-energetic 3 GeV anti-neutrinos

Probability that a 3 GeV neutrino is reconstructed at 2.5 or 2.0 GeV



A major error in extrapolating a component distorts the anti-neutrino differently than neutrino would fake CP violation signal, degrade sensitivity

# Feynman diagrams for elastic and inelastic reactions



Today's results focus on the kinematics from the quasi-elastic to Delta resonance interactions.

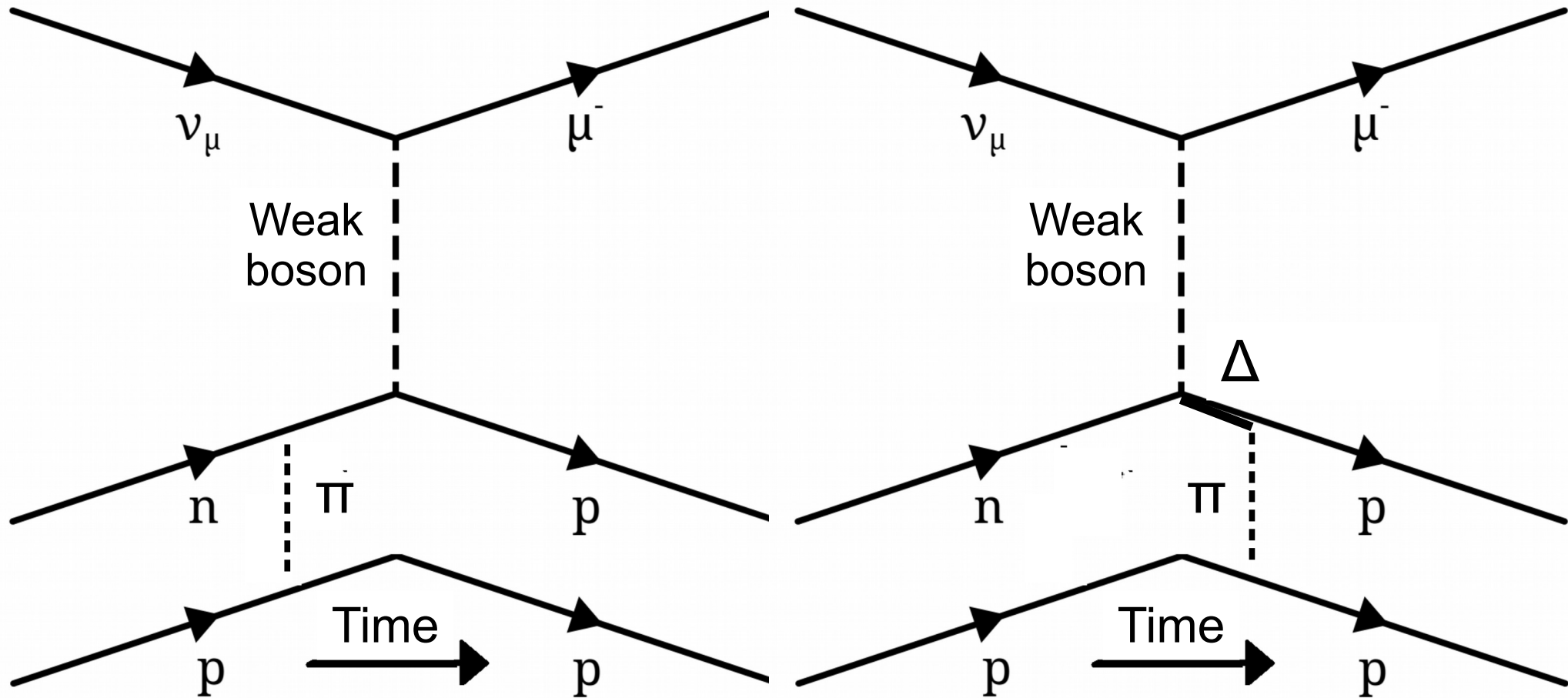
not the only topic, but very important for NOvA, T2K, DUNE.

Will refer to  $W$  as the (invariant) mass of the outgoing hadron.

QE has just a proton 0.938 GeV,  $\Delta$  has 1.232 GeV

# “2p2h” between the QE and the $\Delta(1232)$ ?

Events where the reaction involved two nucleons



interaction with two particles in the process of pion exchange both are knocked out, creating two holes in the nucleus (2p2h)

Not a single particle, more degrees of freedom,  
can appear to have  $W$  between QE (0.938) and  $\Delta$  (1.232)





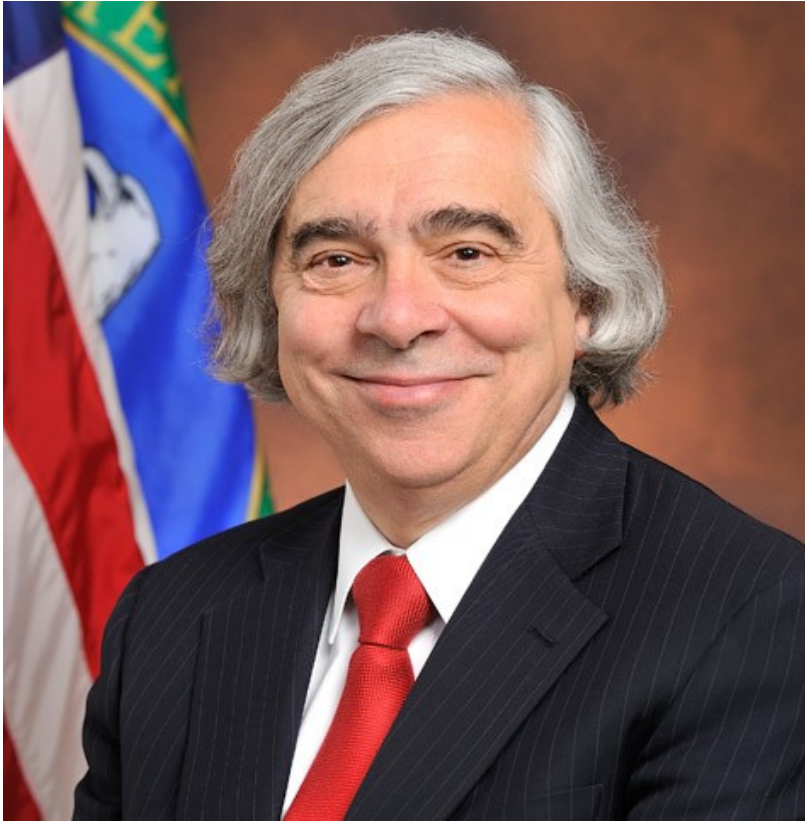
## Just nucleons exchanging pi mesons

(sometimes called  
meson exchange currents)

If we can measure them  
and calculate diagrams  
we can model them.  
Then they don't harm  
oscillation measurements.

MINERvA has  
measured them  
and compared them to  
two models.

# Energy Secretary says: the simplest model for carbon



R.A. Smith and E.J. Moniz  
Nucl.Phys.B43 (1972)

The interaction is on one nucleon

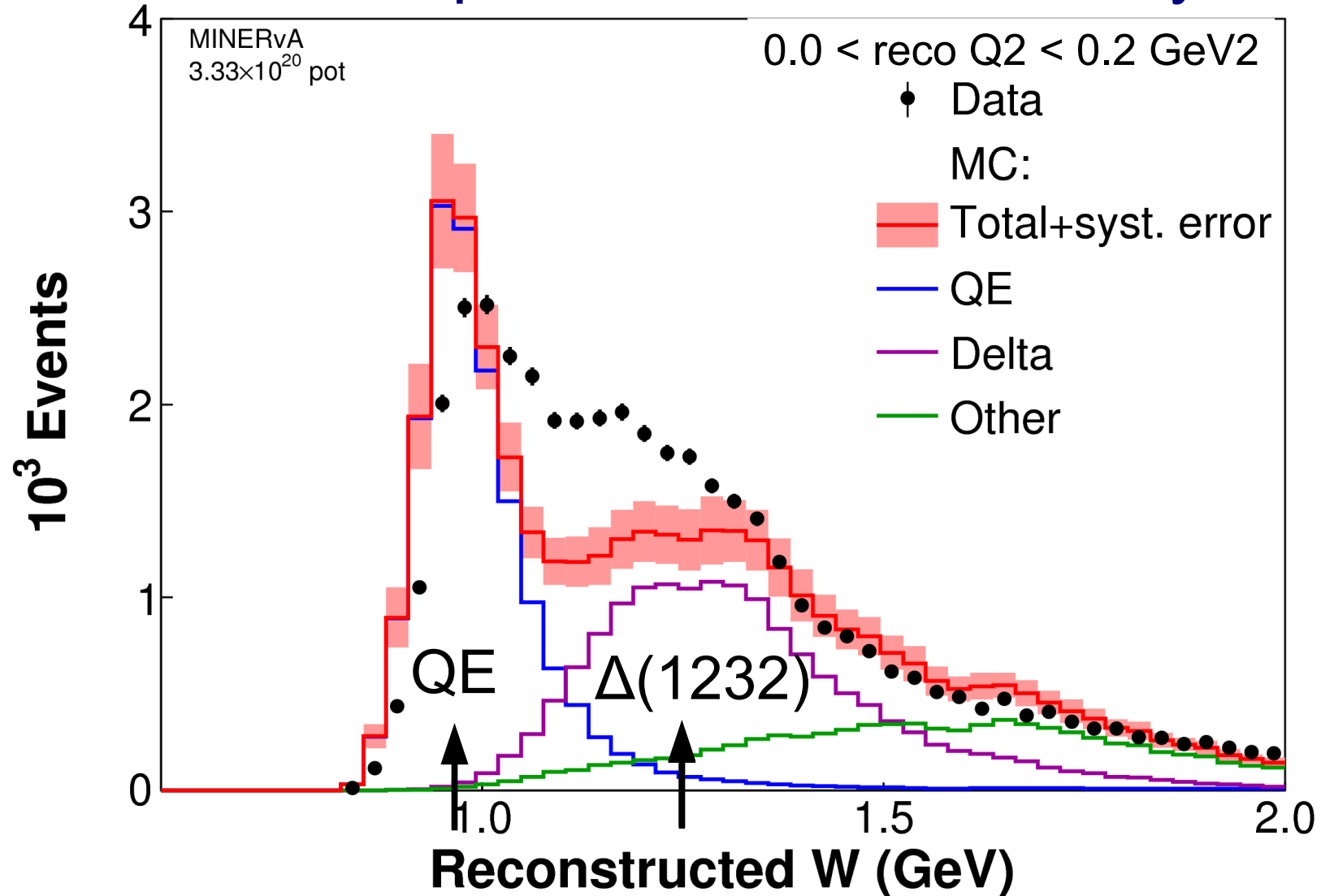
That nucleon is in motion (even relativistic)  
as required by the uncertainty principle  
for a bound/localized particle

The simplest form for giving nucleons  
some motion is a Fermi gas  
(see 4xxx stat physics)

has an energy cost of 25 MeV  
to unbind that nucleon

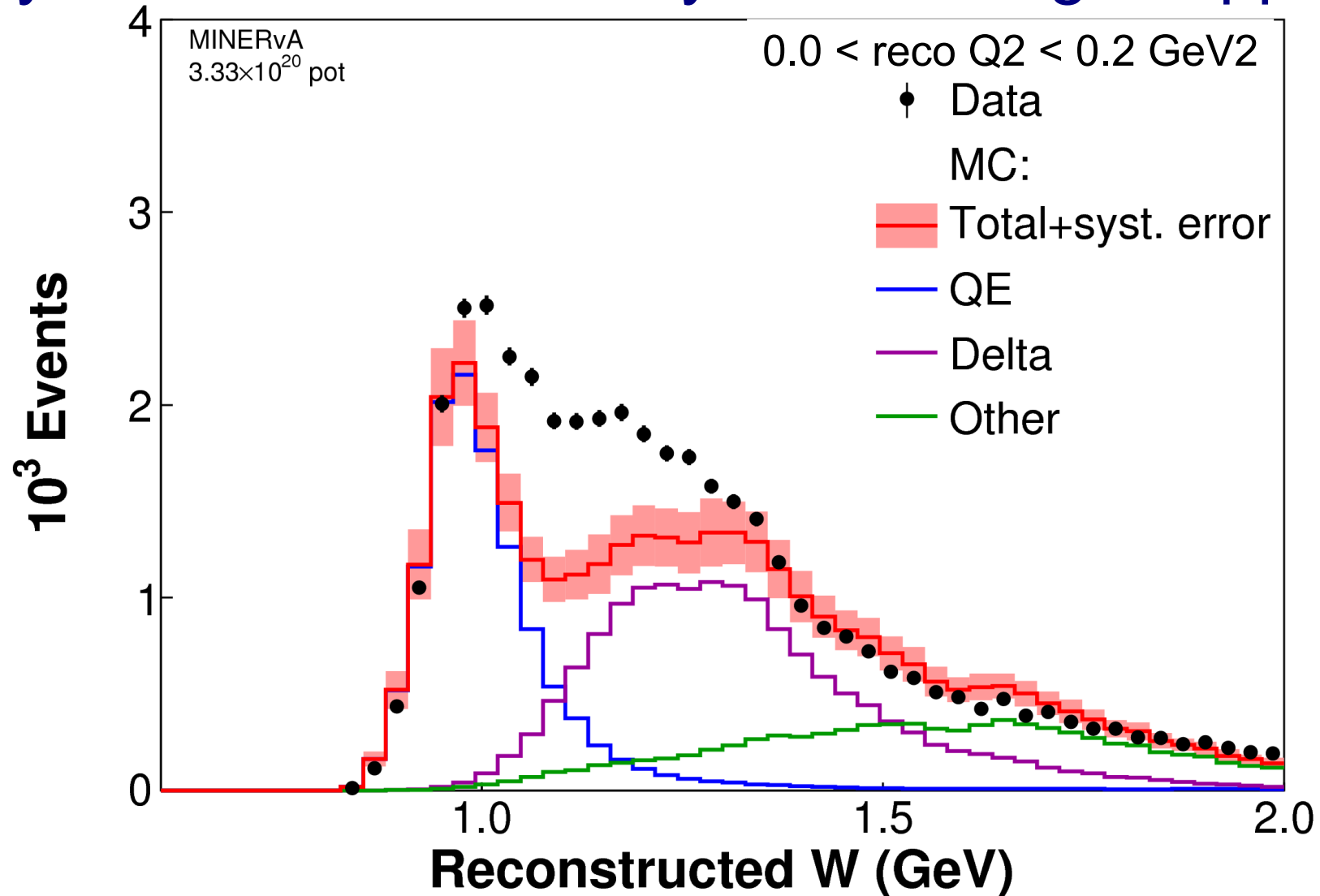
the reaction won't happen if the  
resulting nucleon has the same momentum  
as another nucleon  
(violates the Pauli exclusion principle  
for spin  $\frac{1}{2}$  fermions.)

# MINERvA data compared to model with only QE and $\Delta$



Fully simulated GENIE + MINERvA tuned pion  
(GENIE is the name of a neutrino interaction computer code)  
something is funny about the QE  
and the data might have a 2p2h process in the dip

# Modify model with “RPA”-style screening / suppression

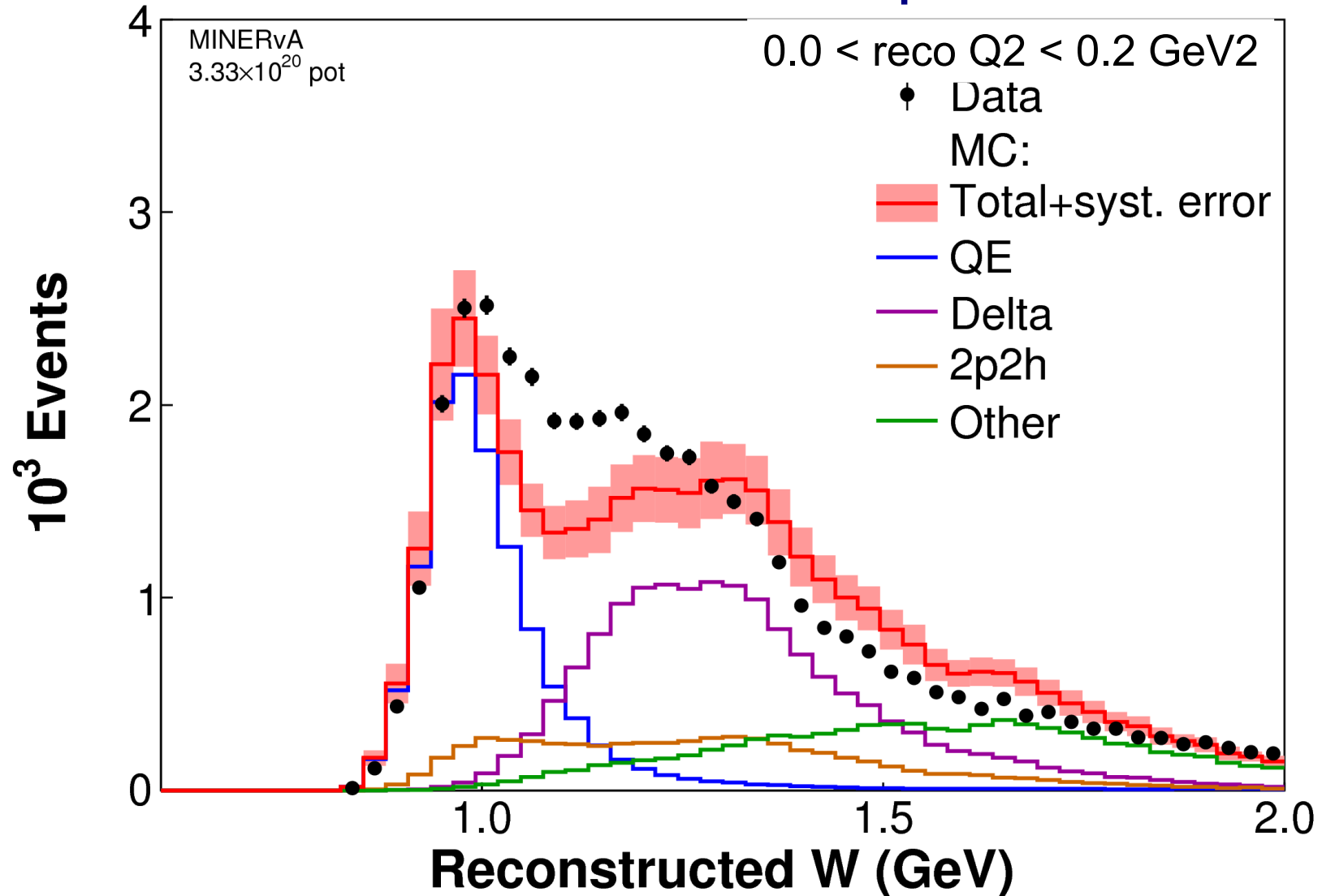


RPA is a technique to model a screening of the nucleon significant as momentum-transfers approach zero.

Nucleon equivalent to the polarization screening effect. <sup>12</sup>

Valencia RPA model for QE is tuned to muon capture data

# QE with RPA and Valencia 2p2h interactions



The 2p2h process contributes broadly  
fills in the region between QE and  $\Delta$   
does not produce perfect agreement – need more?

# Wanted: a cross section, not event rate comparisons

Why? so model builders can compare to our data directly, without having to use the full MINERvA detector simulation.

Allows faster progress toward better models !

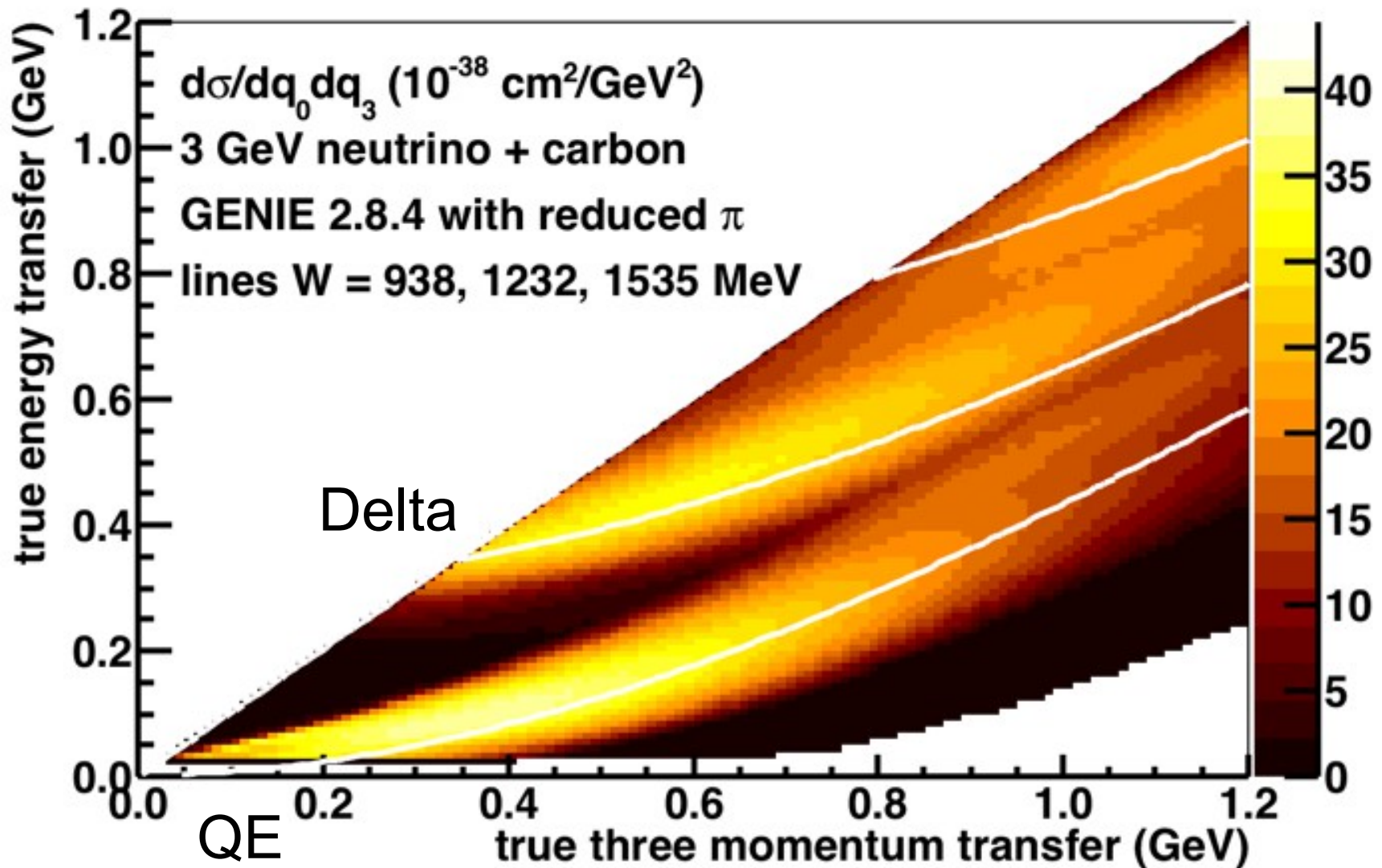
Problem: can't unfold detector smearing to  $W$  at all places in the spectrum with zero predicted cross section

Problem: want to carefully avoid model dependence makes the resulting cross section long-lived, maximally useful

Do this with  $d\sigma/dp_\mu d\theta_\mu$  (or  $d\sigma/dQ^2$  from muon kinematics) could be okay in a “narrow band” limited  $E_\nu$  beam

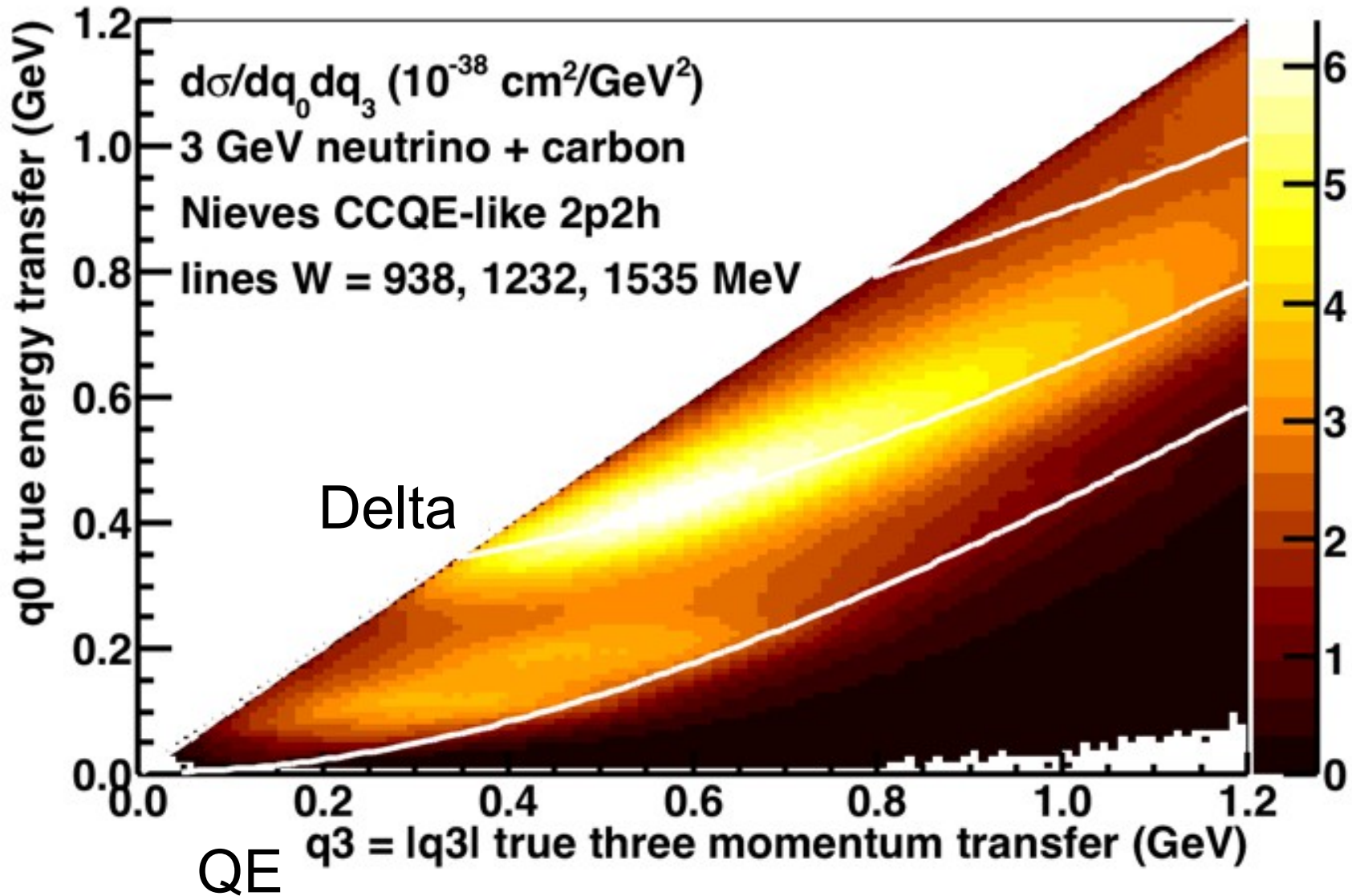
Former doesn't give the full picture I showed  
latter isn't the beam MINERvA is using

# three-momentum and energy transfer vs. $W$



Can't get this with muon kinematics alone in broad band beam  
use MINERvA's abilities as hadron calorimeter

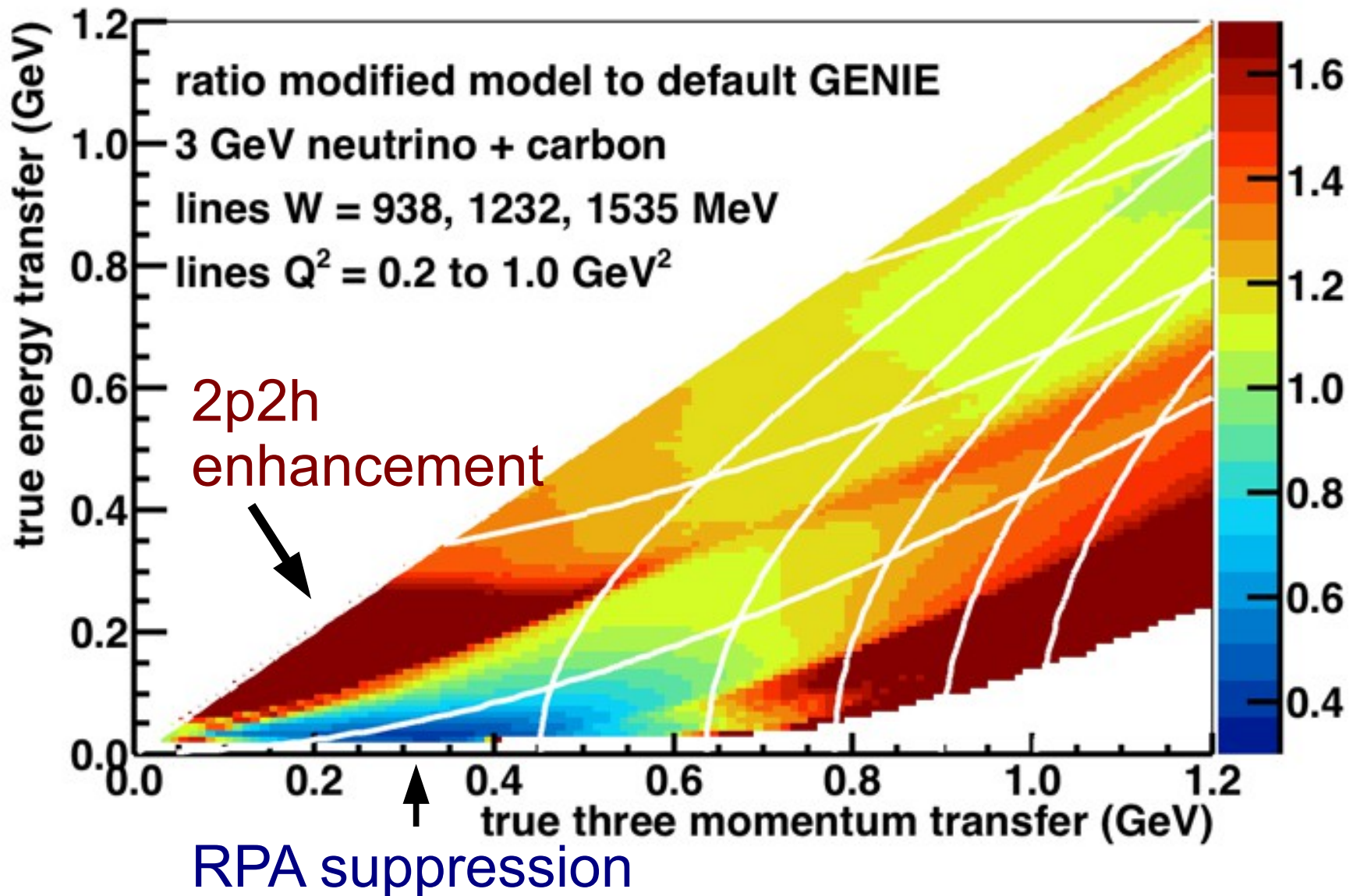
# Valencia QE-like (no pion) 2p2h prediction



significant sorta-QE component but at  $W \sim 1.0$   
 Large  $W = 1.232 \text{ GeV}$   $\Delta$  component

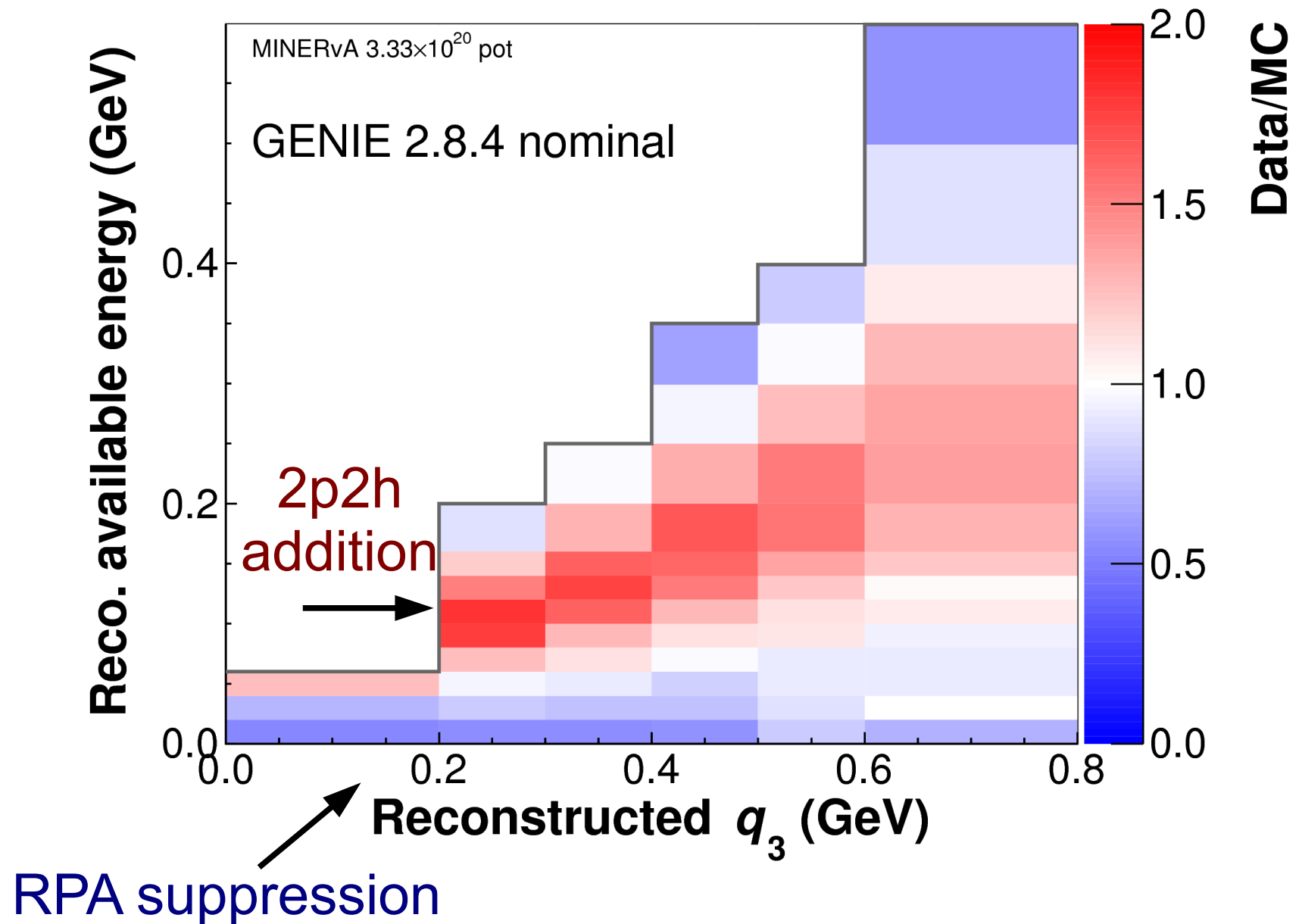


# ratio (RPA+2p2h+GENIE) / GENIE



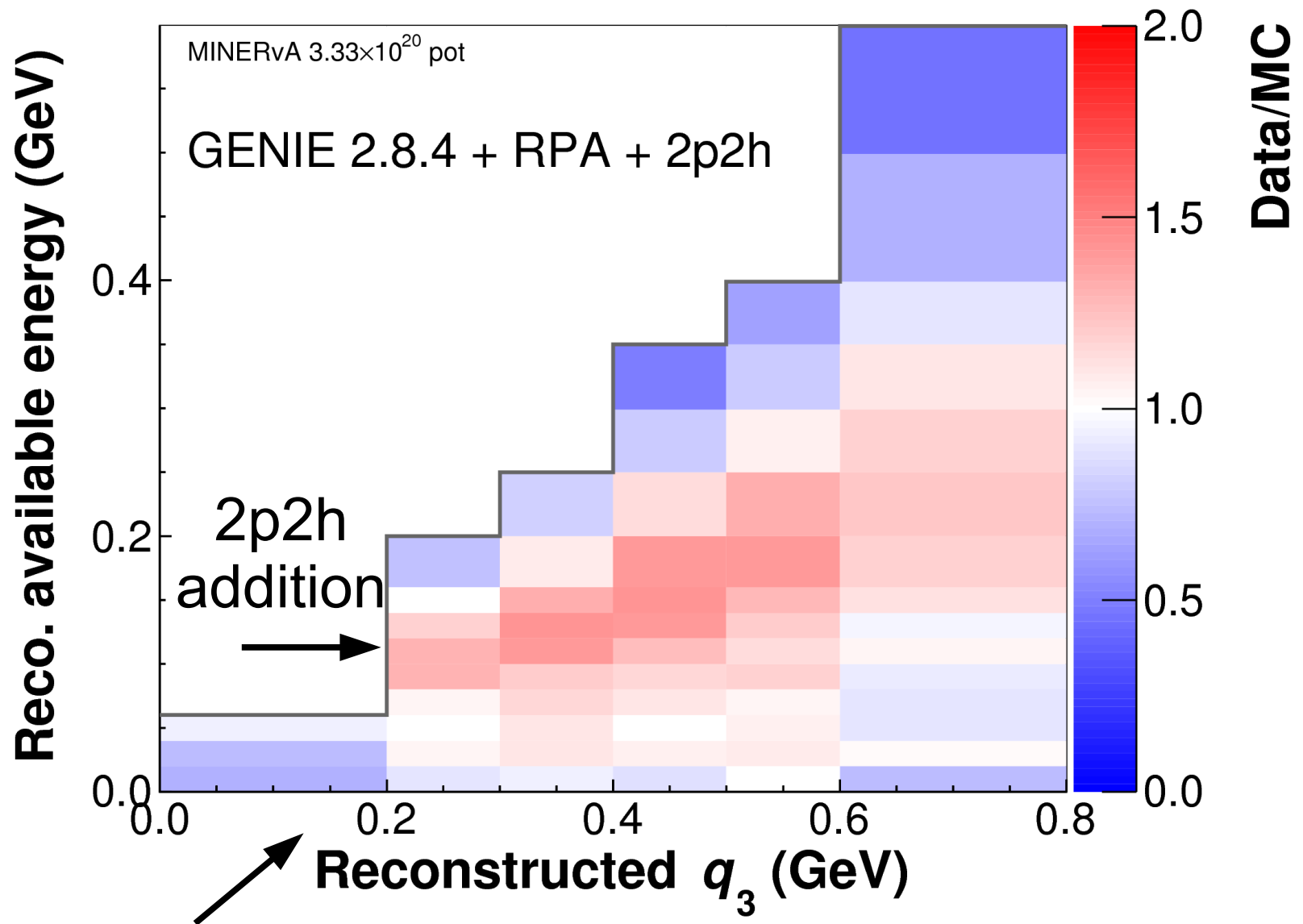
This prediction matches the story I told with the  $W$  plots<sub>17</sub>  
contains the same information, but leads to a cross section

# Ratio Data / (GENIE without RPA and 2p2h)



Like the model ratio, but with binned, reconstructed quantities

# Ratio Data / (GENIE with RPA and 2p2h)



RPA suppression

Notice the 2D bins  
six bins in  $q_3$ , up to 15 on vertical axis

# Technical slide

We do not start knowing the energy of the neutrino, only the direction.

Measure the energy  $E_\mu$  and angle  $\theta_\mu$  of the outgoing muon.

Measure the detected energy attributed to hadrons  $E_{\text{visible}}$ .  
Make a correction from that to energy transfer  $q_0$  (or  $E_{\text{had}}$  or  $v$  or  $\omega$ )  
(correction has some dependence on neutrino model)

$$\text{Estimated muon energy} = E_\mu + q_0$$

$$\text{Estimated four-momentum } Q^2 = 2 E_\nu (E_\mu - p_\mu \cos \theta_\mu) - M_\mu^2$$

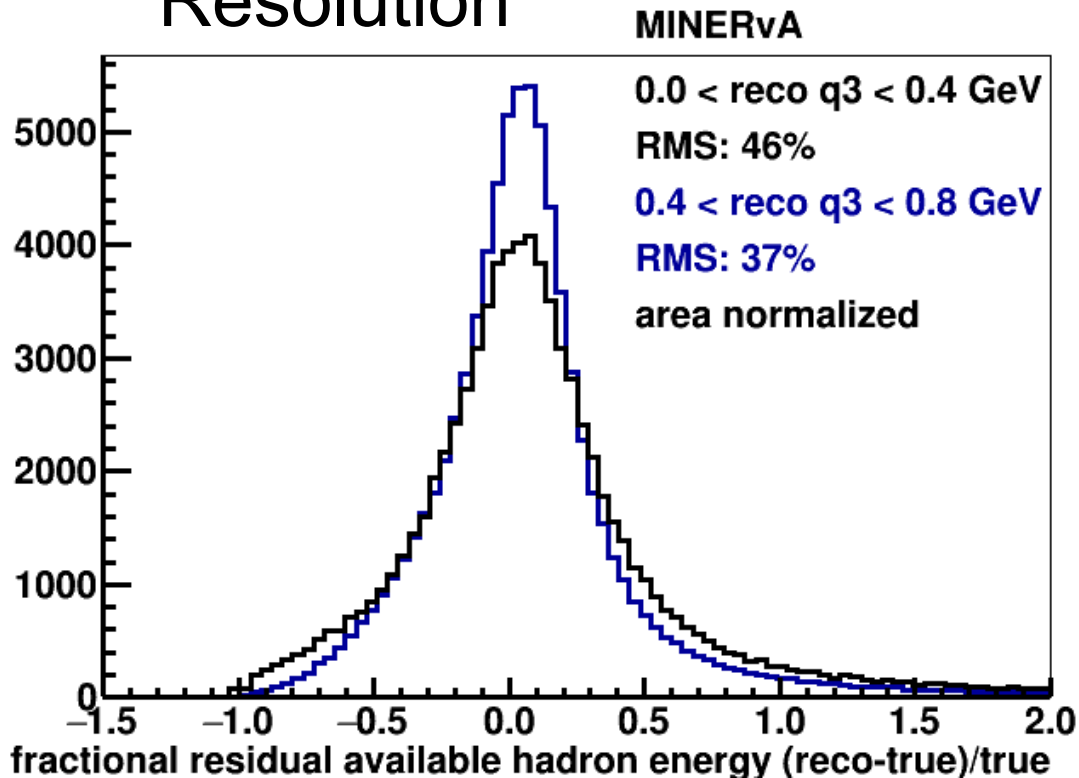
$$\text{Estimated momentum transfer } q_3 = \text{Sqrt}(Q^2 + q_0^2)$$

$$\text{If desired, estimate } W = M_n^2 + 2 M_n q_0 - Q^2$$

Turn  $E_{\text{visible}}$  into (next slide)  $E_{\text{available}}$  = using **detector** MC  
(discounts neutrons, has little neutrino model dependence)

# Vertical axis is a special energy estimator

## Resolution



Use known resolution  
to “unfold” reconstructed data  
(similar image processing  
to unblur a photograph)

is NOT energy transfer  
unfolding has the same  
pathology as W  
and model dependence from  
unknown neutron content  
(MINERvA doesn't measure  
neutron energy very well)

Available energy  $E_{avail}$  is  
 $KE(p, \pi^\pm) + E(\pi^0, e, \gamma, K)$

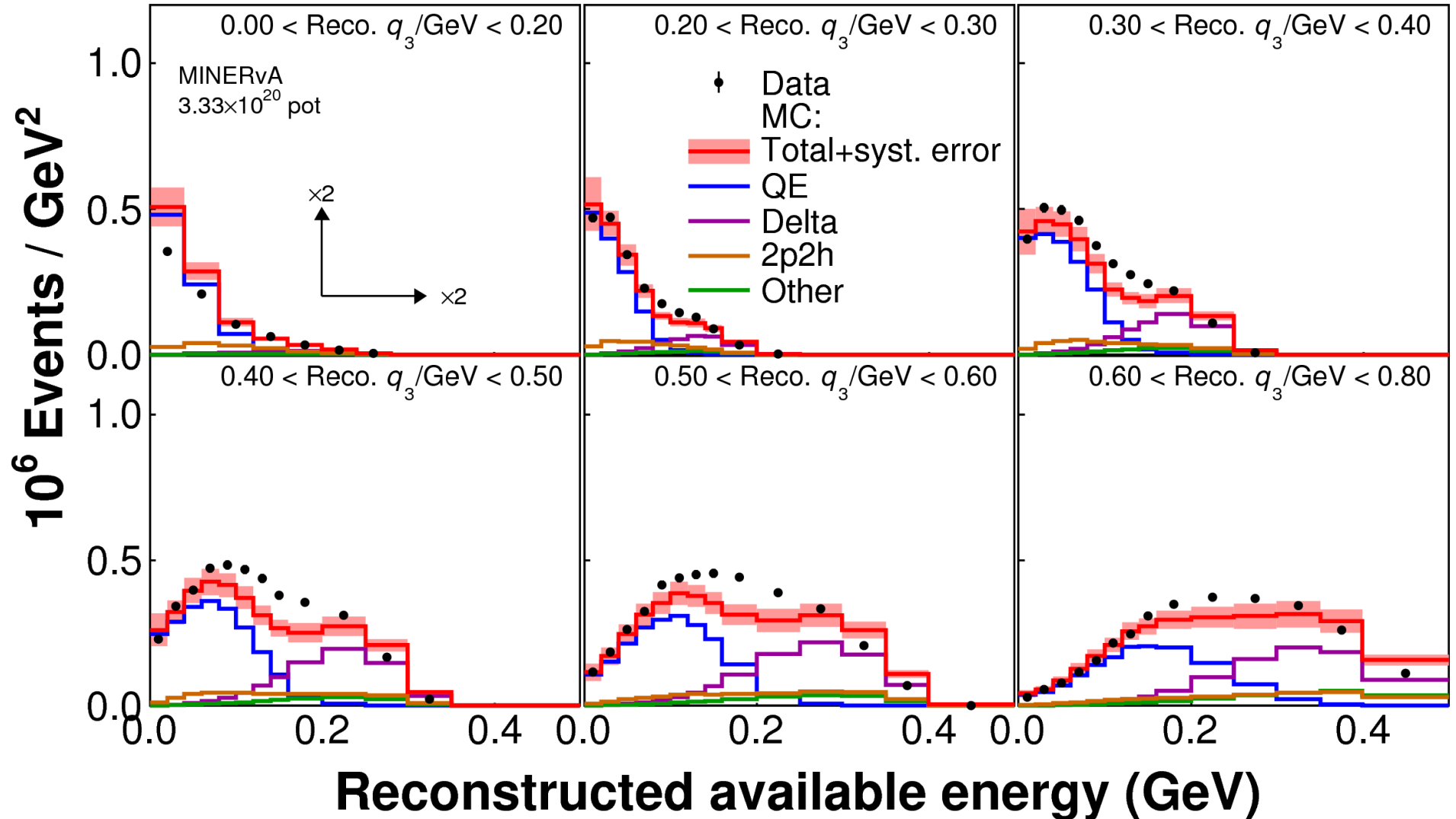
not neutrons

not nucleon removal energy

depends on detector model  
test beam calibrations

# The inputs to the earlier 2D plot, before unfolding

Model is GENIE with RPA suppression and 2p2h events



# Examples of 2D unfolding

requires you know smearing function



(a)

original



(b)

blurred



(c)

unfolded



G. Cowan  
Statistical  
Data  
Analysis

blurred

unfolded

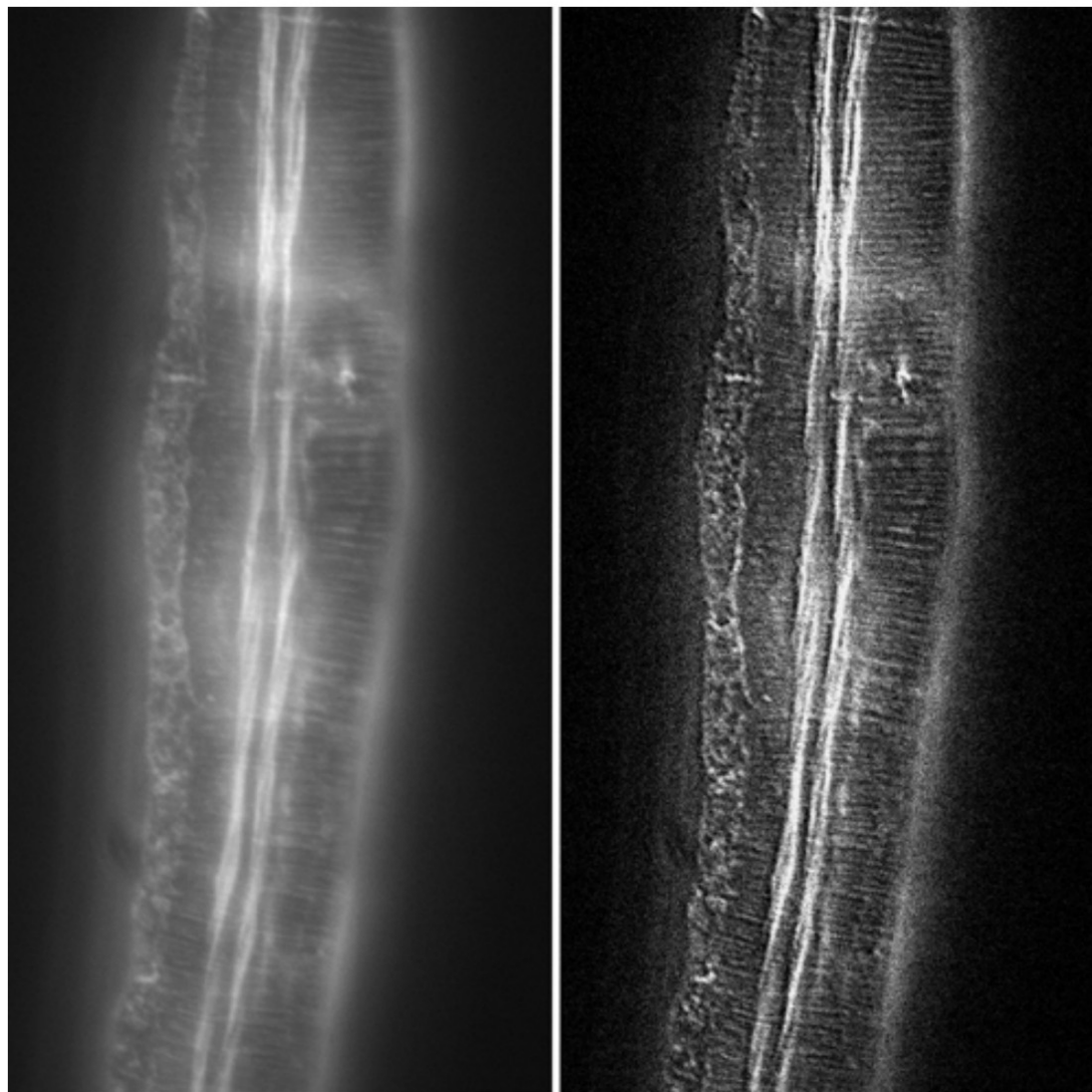
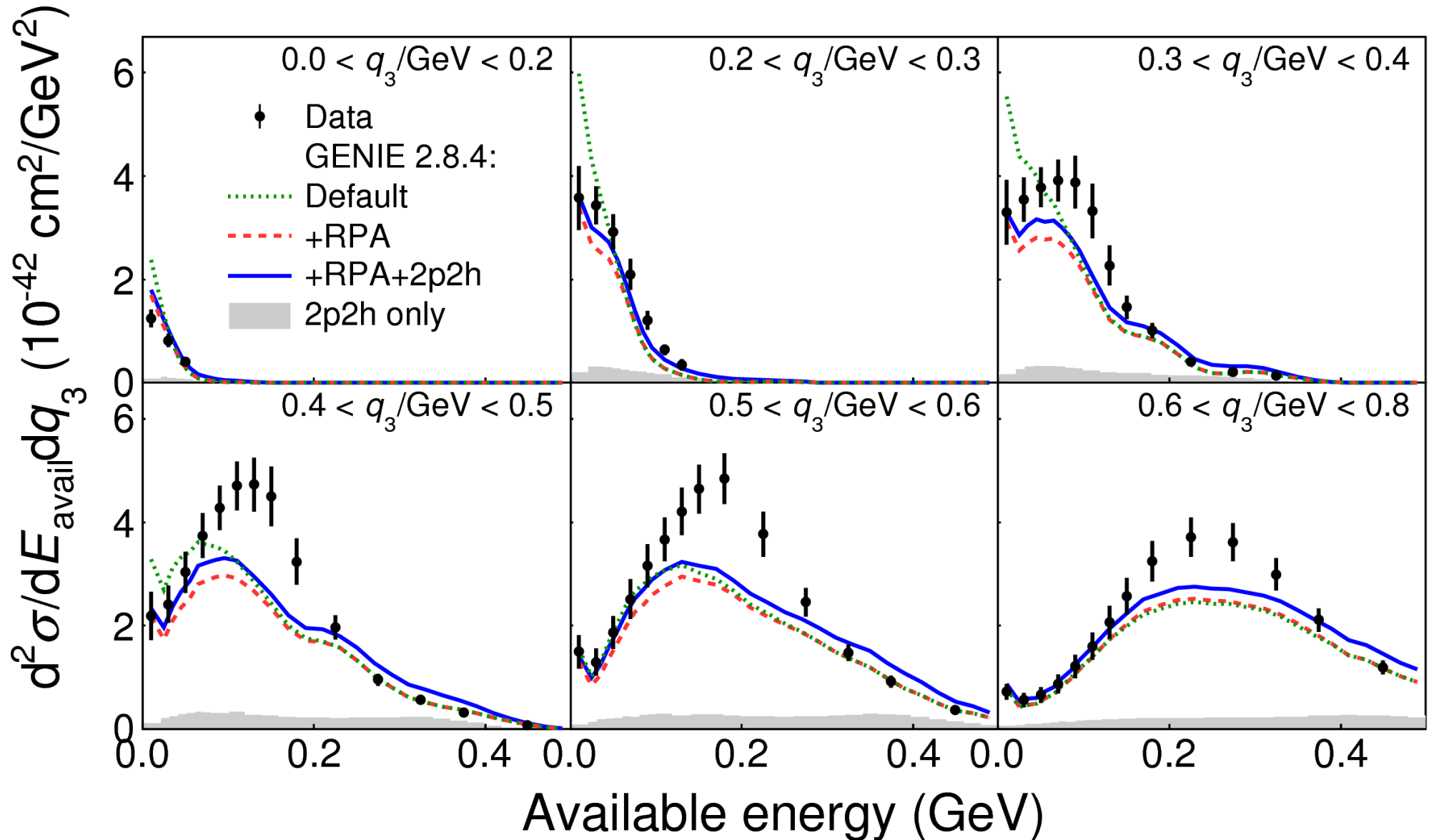


image S. Oser found on the web

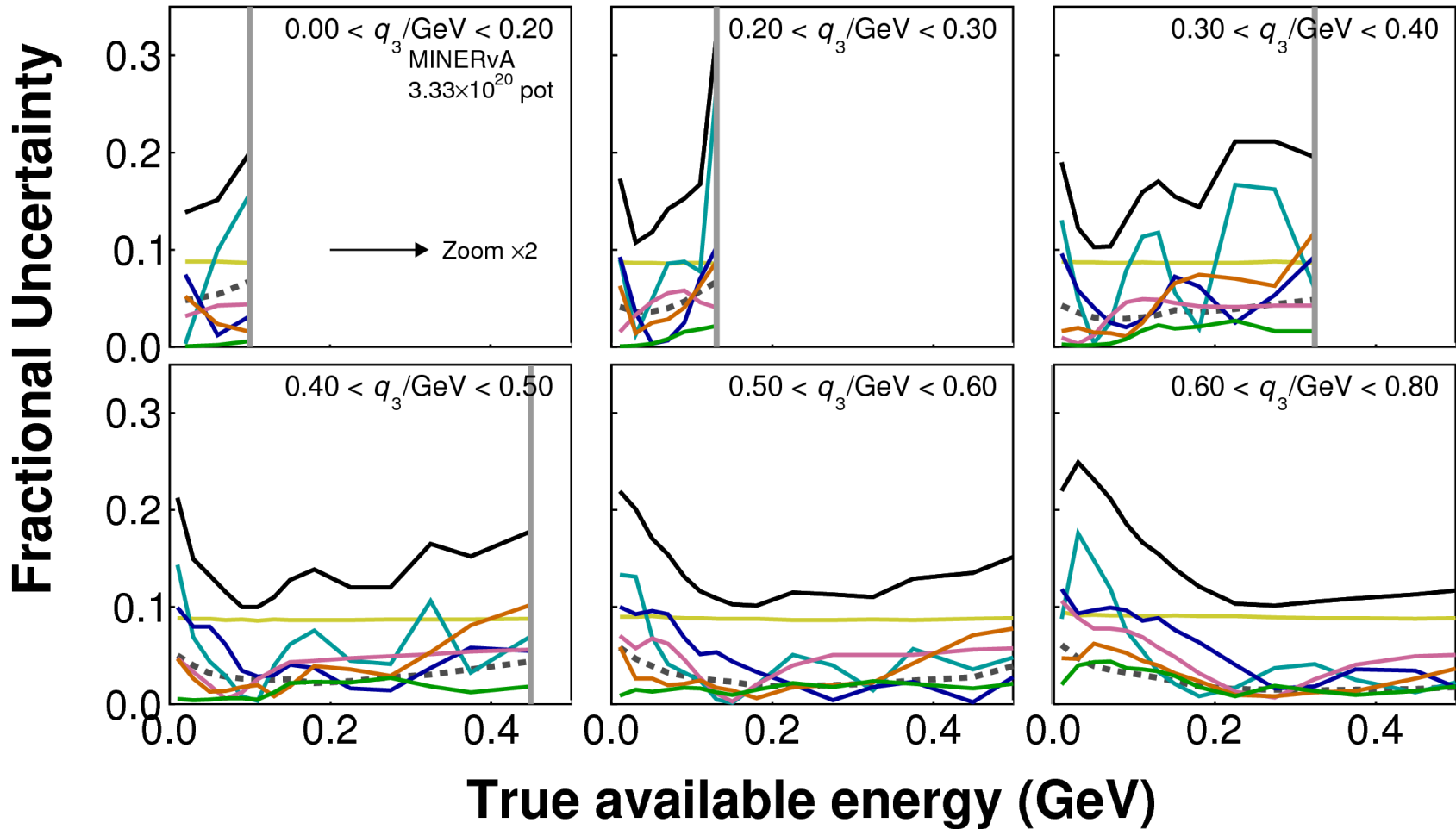
# Unfolded, double differential cross section



You can make the dashed curves from the GENIE code.  
GENIE code to go from red to blue curve, in progress. <sup>24</sup>



# Sources of uncertainty on the cross section



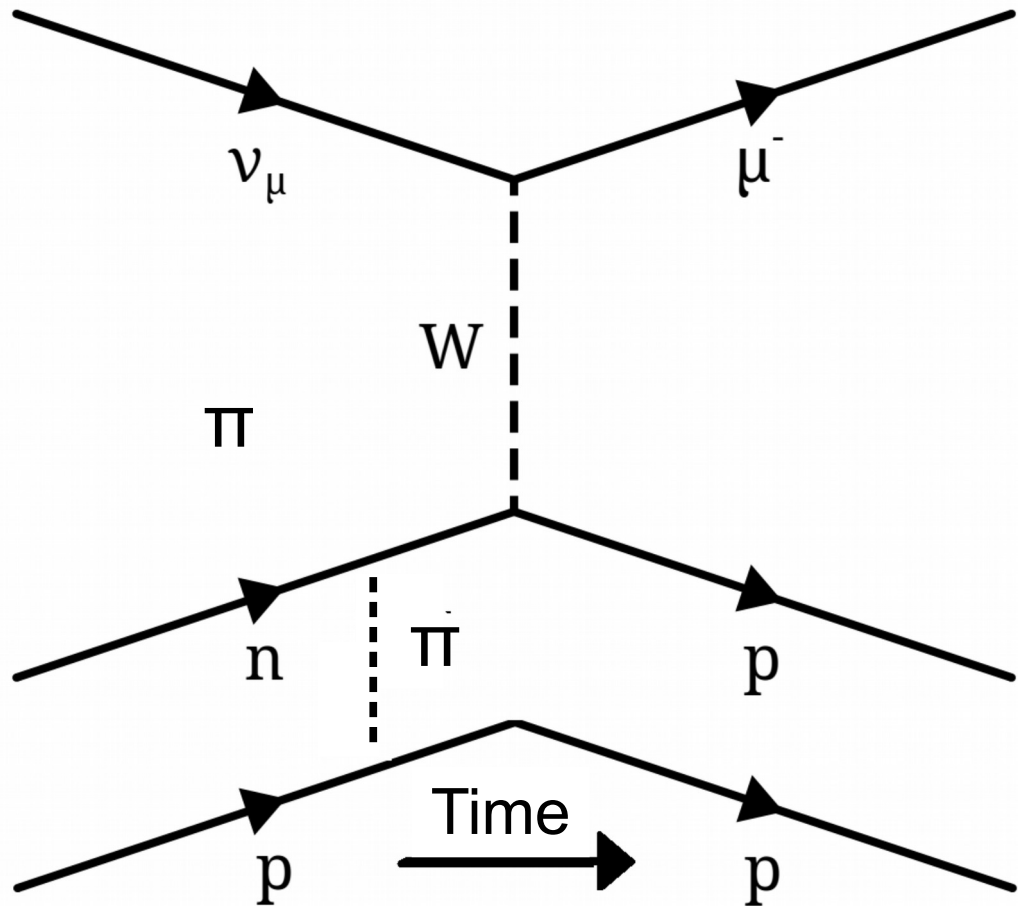
Systematics dominate



Several systematics have same size effects

Model dependence in “Unfolding”, “FSI”, “Interaction”

# Proton counting as signature



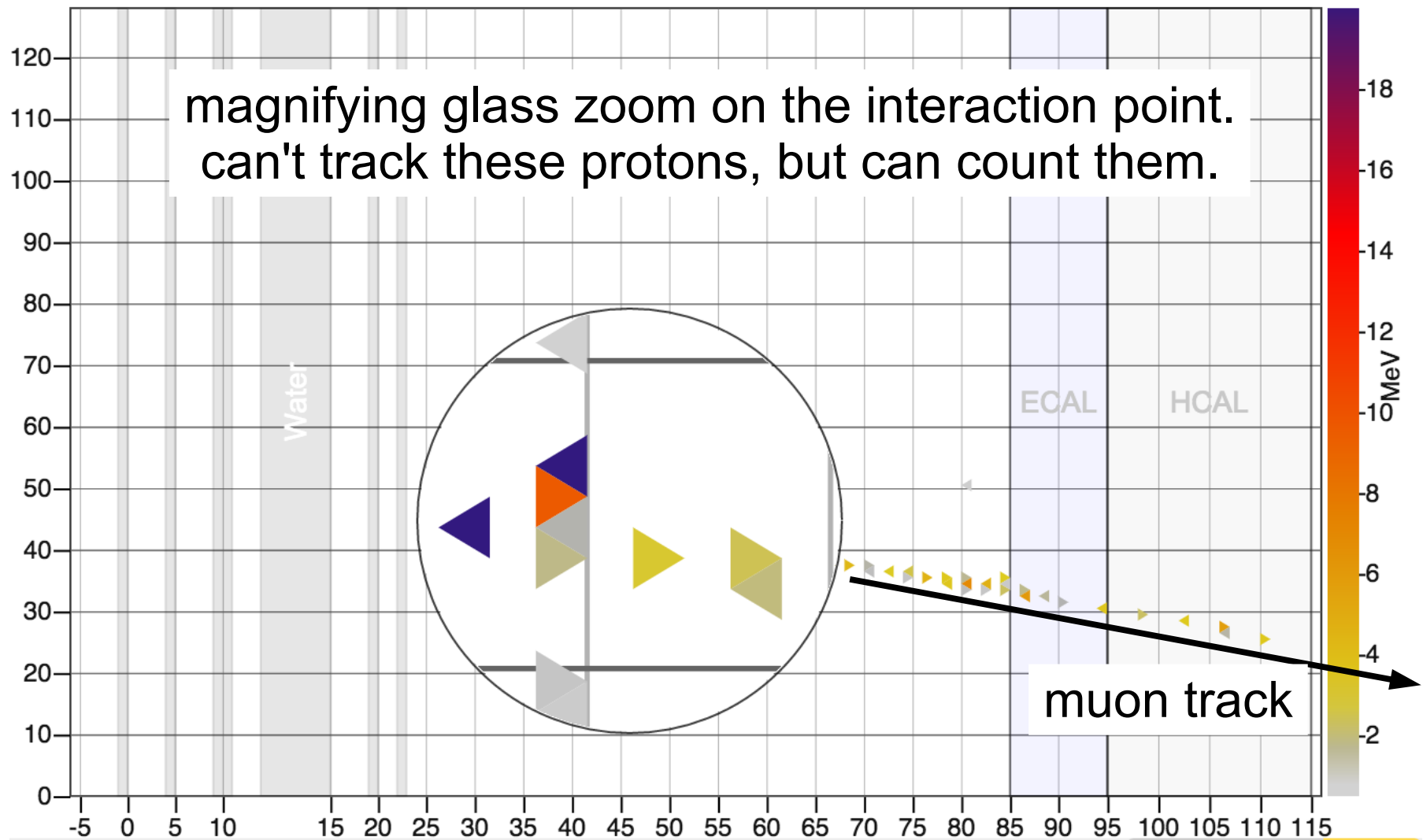
Processes like these should have two protons come out.

We use a characteristic signature for a proton the “Bragg Peak” a large energy deposit at end of the protons range

(same feature of protons is used to kill cancer cells in proton-accelerator based cancer therapy)

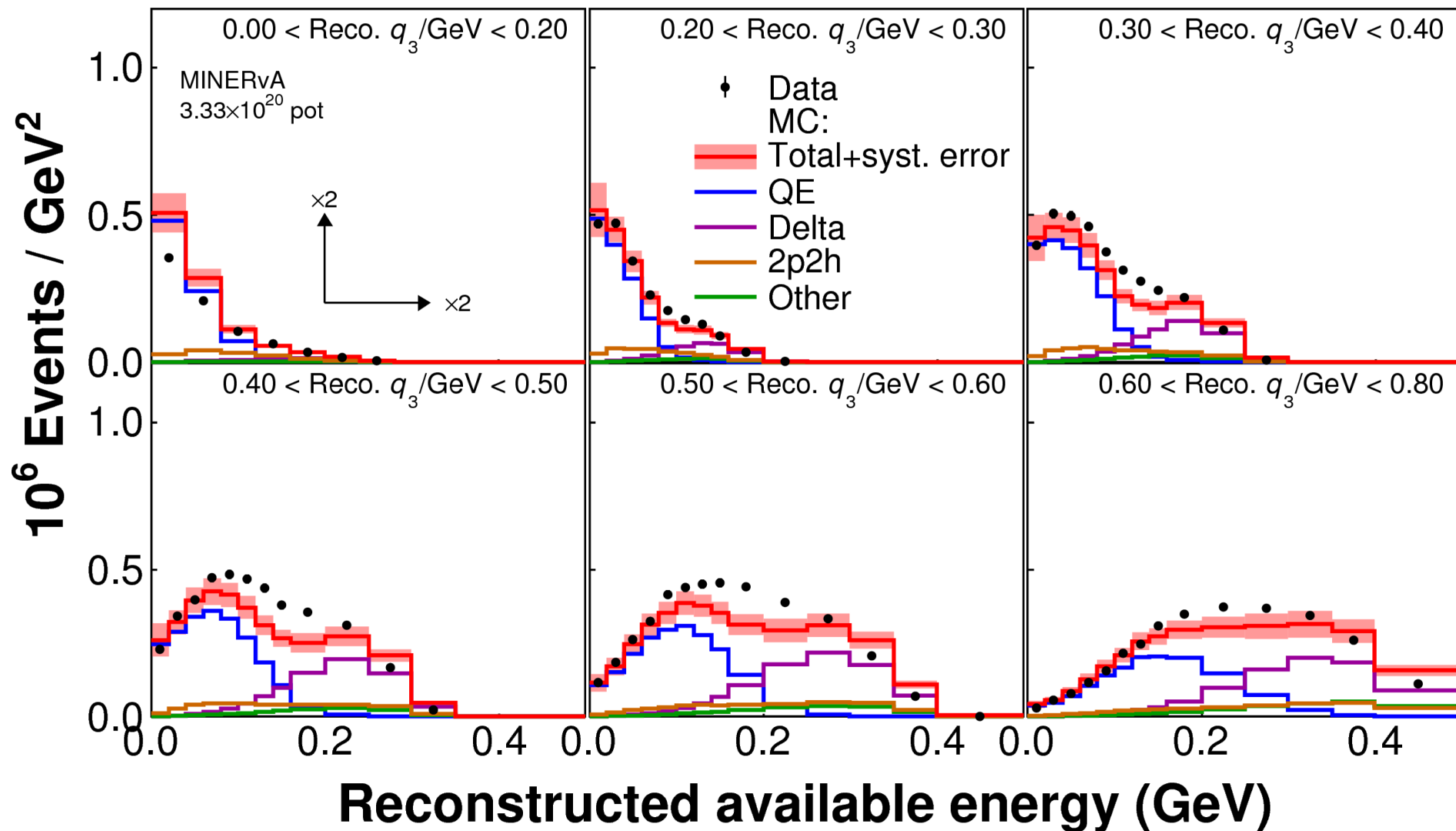
These events are more likely to produce two or three strips with more than 20 MeV near the vertex<sup>26</sup>

# Two protons leaving two 20-MeV hits



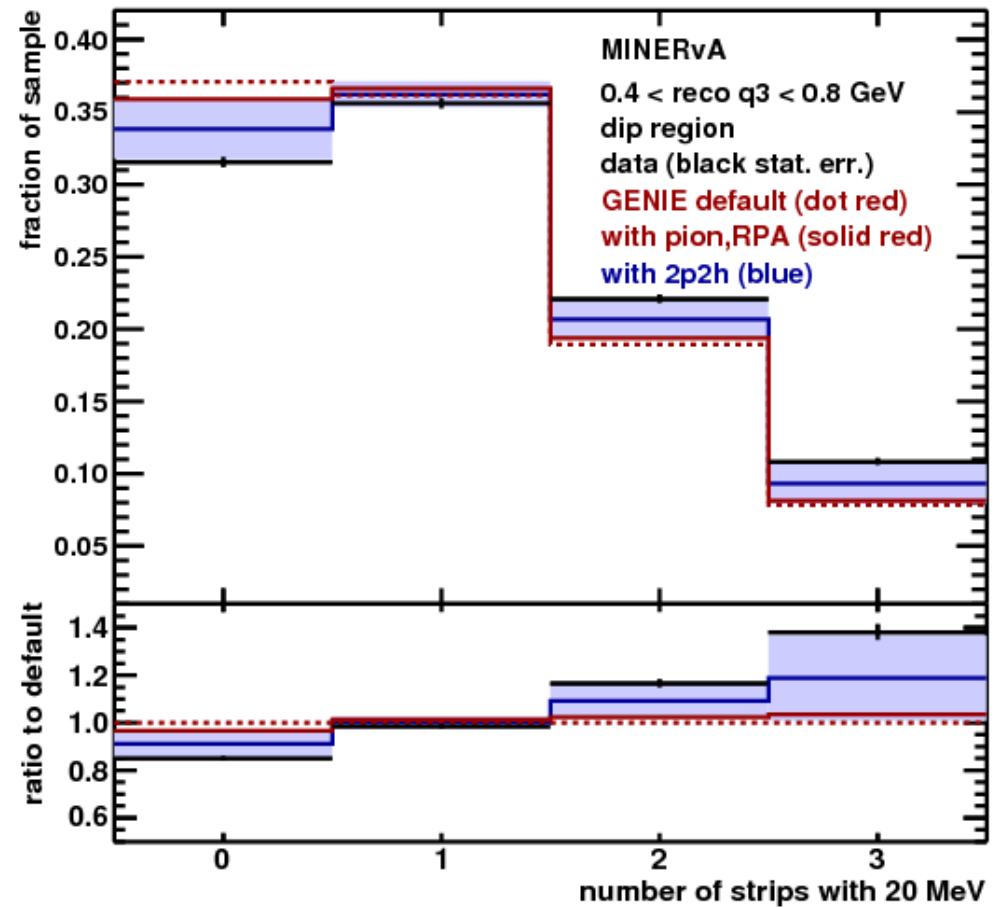
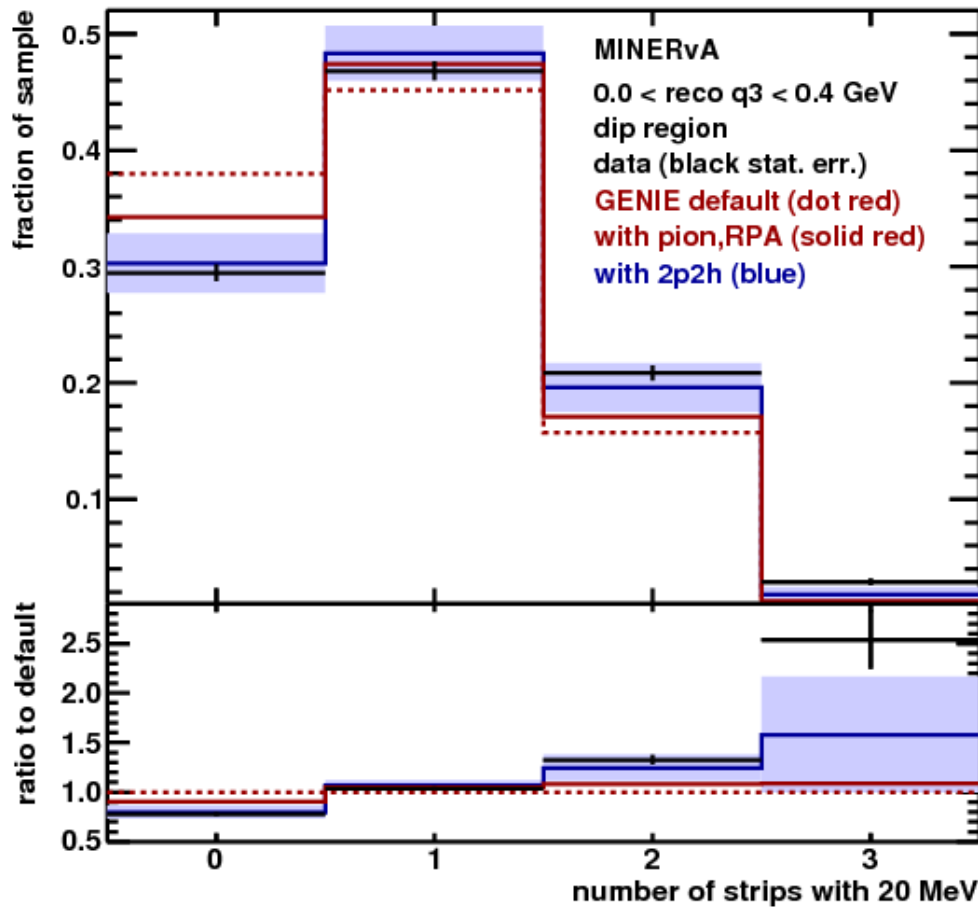
Simulated event with two protons, some neutrons, no pions  
One proton had 32 MeV, stopped right away.  
Other proton had 109 MeV, traveled five planes.  
We look for protons only near the vertex

The inputs to the earlier 2D plot, before unfolding  
Model is GENIE with RPA suppression and 2p2h events



For proton counting, two regions of  $q_3$   
and look only in the dip-region

# Proton counting for two regions of q3



Easiest to focus on the ratio plot at the bottom, 2 and 3 protons

The 2p2h model is shifted much closer to the data

Without 2p2h, poor description of the data,  $\chi^2 = 15.1$  for 6 dof

Data want more 2p2h, or use all the error band,  $\chi^2 = 7.5$

# Conclusions

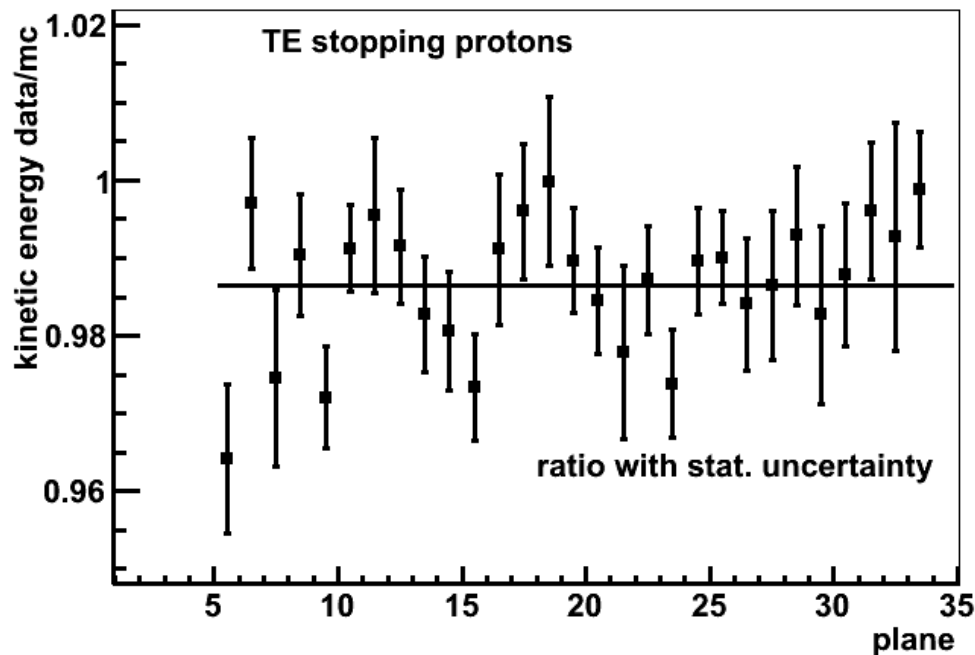
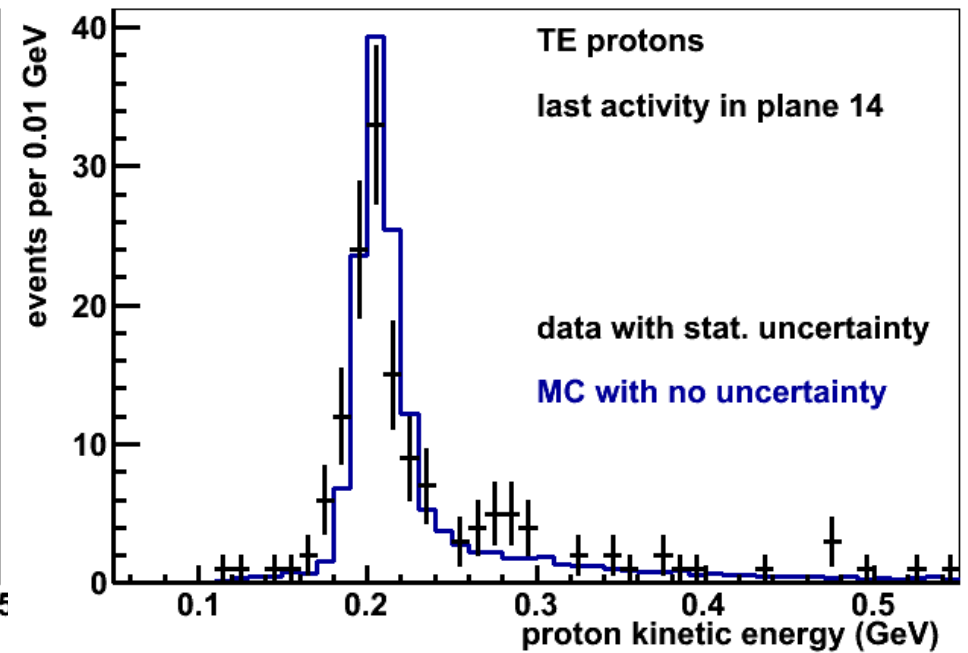
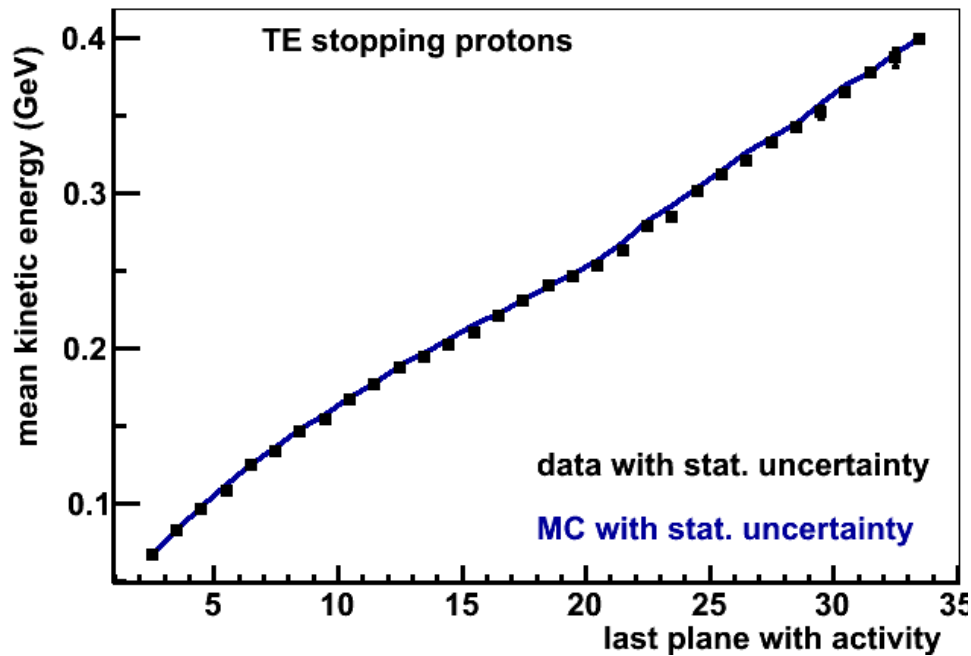
These data are best described with Valencia RPA screening applied to QE 2p2h component similar to Valencia 2p2h model but the data want more of it

We have produced a differential cross section which will enable the interaction community to continue to produce improved models

Some early models are available to experimenters through event generators.

These are already being incorporated into oscillation analysis by T2K, NOvA, DUNE

# How events affect oscillation analysis



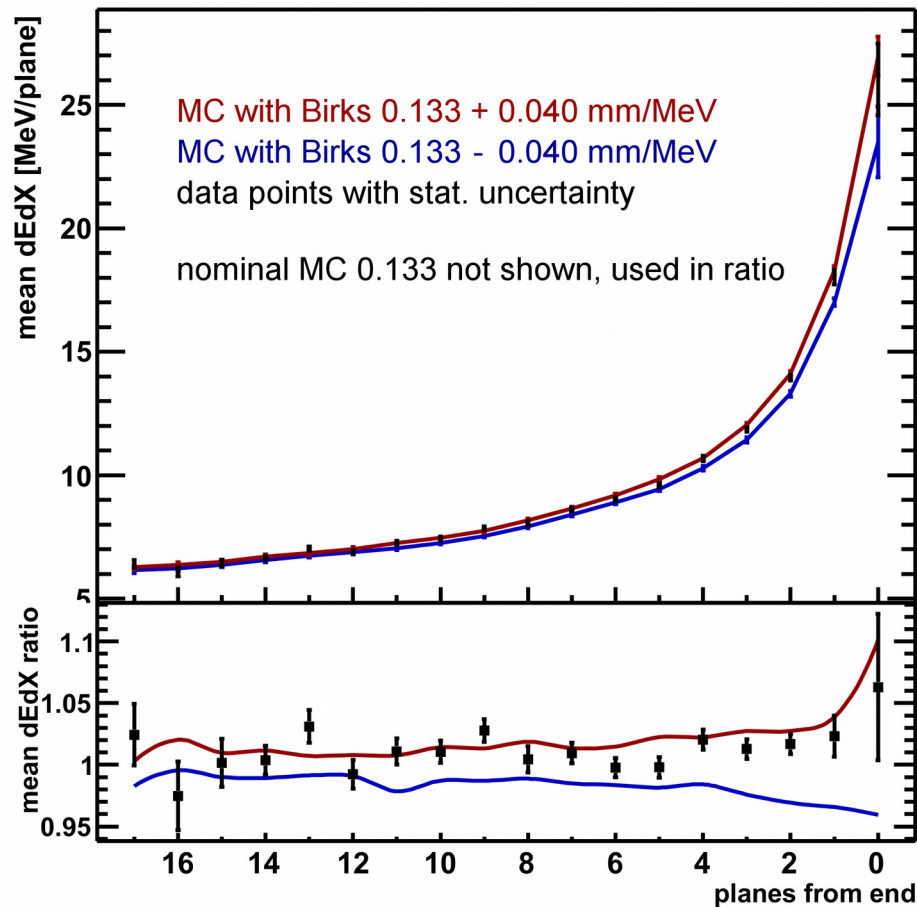
## Proton range

data points on the left  
are mean of Gaussian fit to  
peak like lower right  
MC protons stop 1.3% short

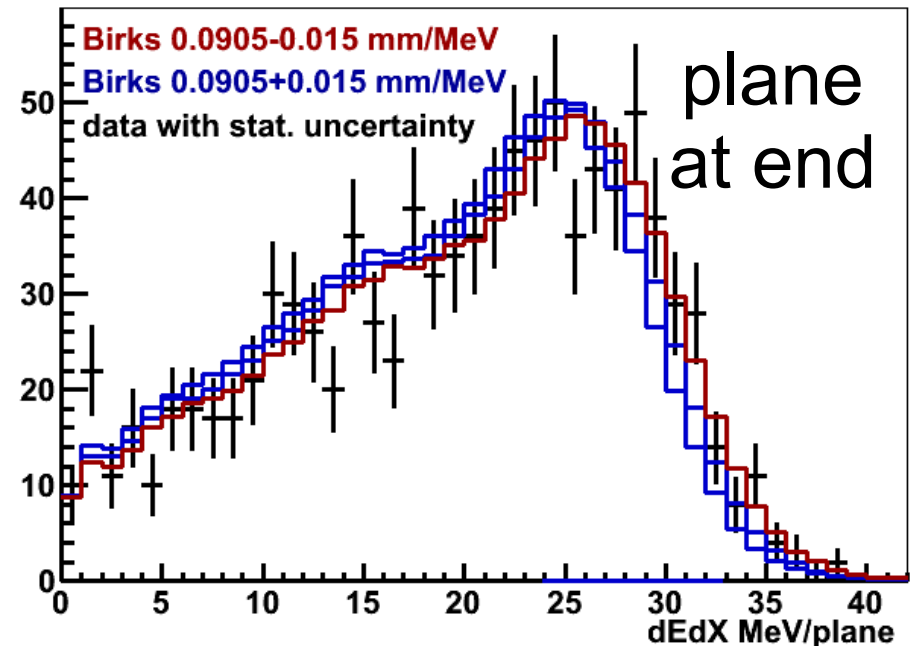
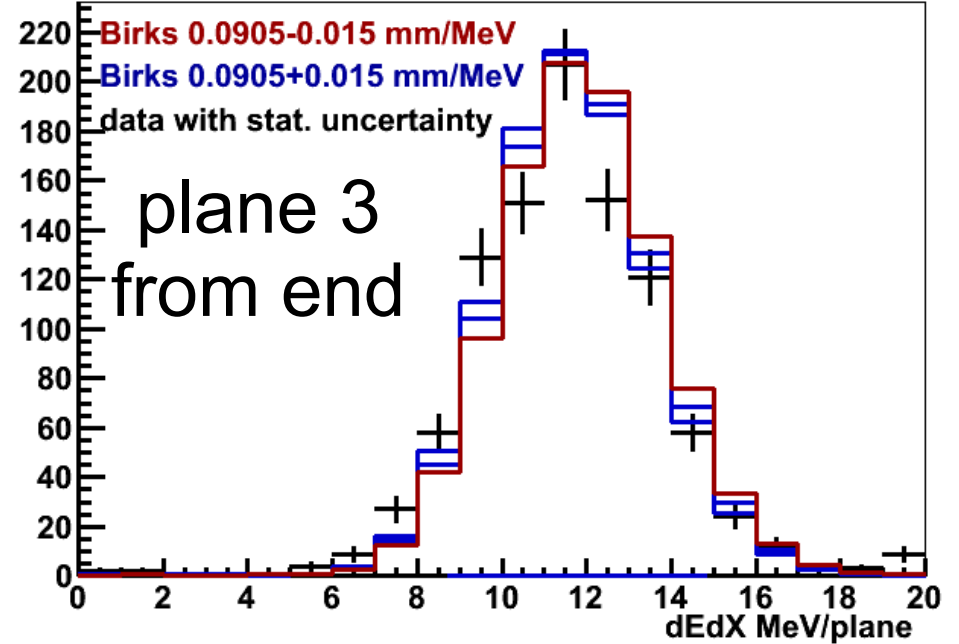
Material Assay 1.5%  
Beamline momentum 1.1%  
Geant4 model uncertainty

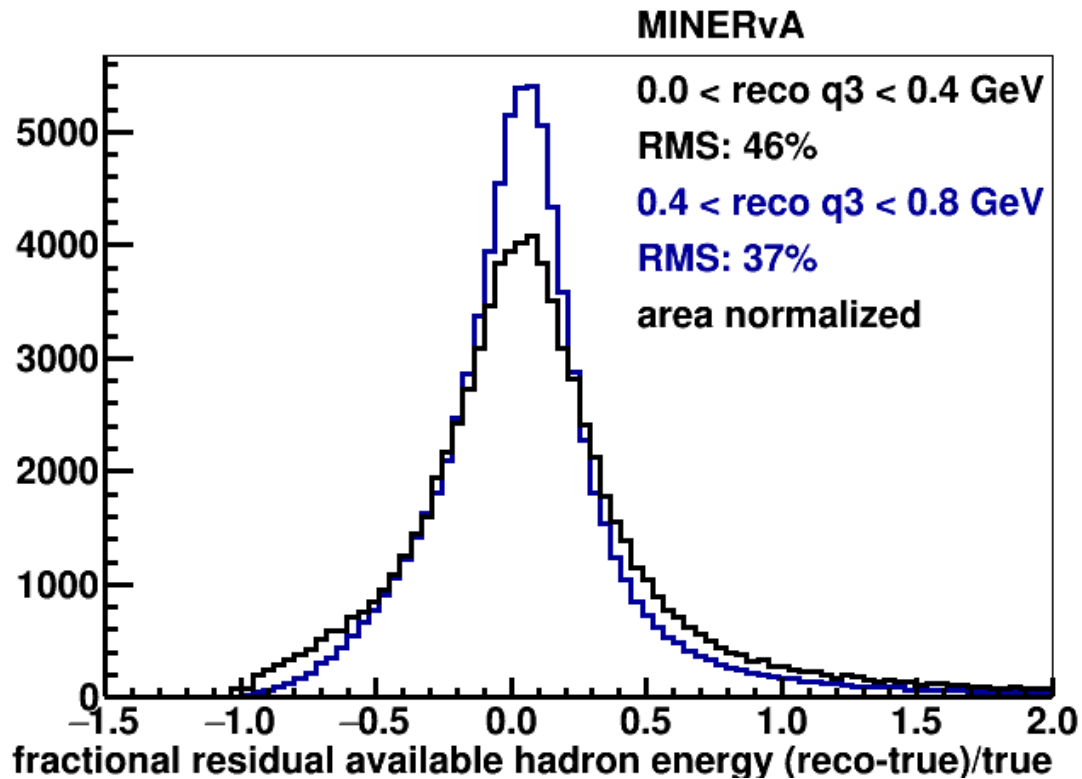
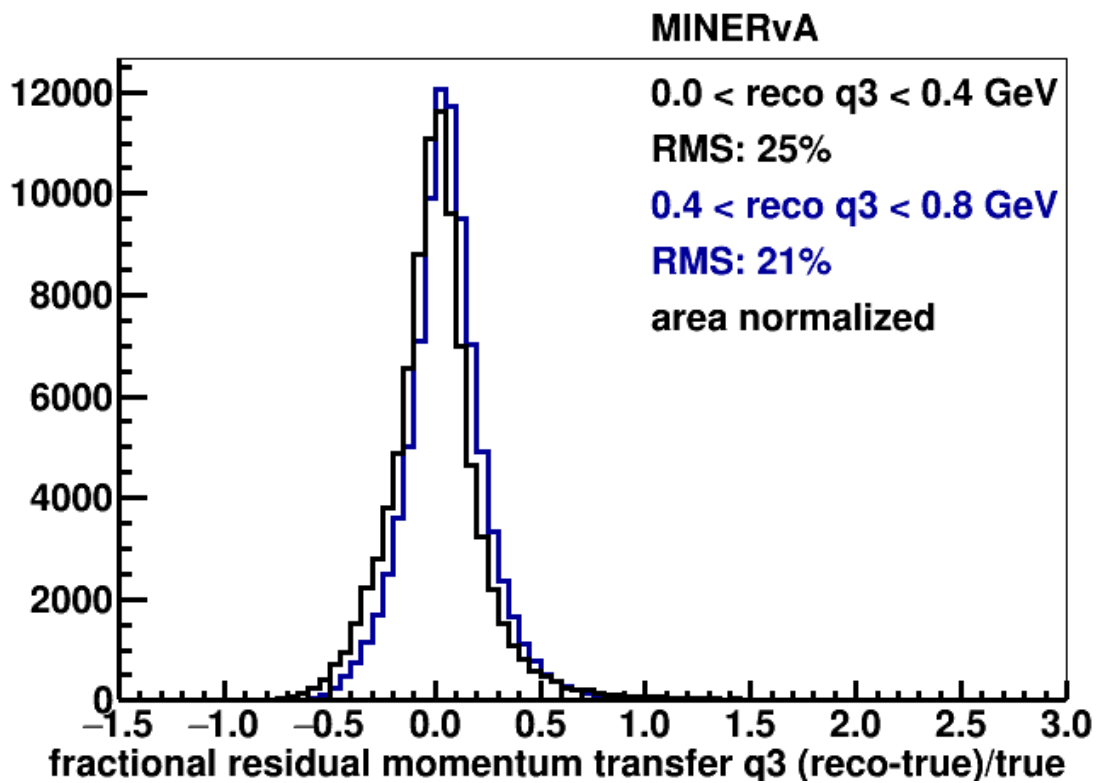


# Birks' law parameter calibration



(above) dEdX trend compared to nominal  $0.133 \pm 0.040$  mm/MeV  
(right) profiles for individual points actually used to do the fit shown at best fit  $0.0905 \pm 0.015$





Six bins of momentum-transfer is a good match for the resolution.  
Some model dependence

Lower figure is NOT energy transfer unfolding has the same pathology as W and model dependence from unknown neutron content

$E_{avail}$  is  
 $KE(p, \pi^\pm) + E(\pi^0, e, \gamma, K)$   
 not neutrons  
 not nucleon removal energy  
 depends on detector model  
 test beam calibrations

The inputs to the earlier 2D plot, before unfolding  
Model is GENIE with RPA suppression and 2p2h events

